# SUPREME COURT RULES

# RELATING TO SUPREME COURT, COURT OF APPEALS, AND APPELLATE PRACTICE

Rules 1.01 – 10.02

**Restyled Rules** 

♦ Redline & Comments ♦

# GENERAL AND ADMINISTRATIVE

# **Rule 1.01**

# PREFATORY RULE PREFATORY RULE

(a)	RULES ADOPTED. Rules Adopted. The following Rules of the Supreme Co	urt numbered
	1.01 through 9.01 10.02 are hereby adopted effective January 10, 1977	, 20 .

- (c) STATUTORY REFERENCES. Statutory References. In these rules, whenever there is a reference to a section of a statute by number it shall be deemed to be a reference to the Kansas Statutes Annotated or Supplement or includes any subsequent amendment thereto unless a different to the statute is indicated.
- (d) Judicial Council Forms. Judicial council forms referenced in these rules may be found at the judicial council's website: http://www.kansasjudicialcouncil.org.
- (d)(e) THE CLERK. The Clerk. The clerk of the Supreme Court is clerk of the Court of Appeals and is referred to in these rules as "the clerk of the appellate courts."
- (e)(f) APPLICABILITY. Applicability. All rules relating to appellate practice shall be applicable Unless otherwise indicated, the rules numbered 1.01 through 10.02 apply to both civil and criminal appeals, and govern procedure in both the Court of Appeals and the Supreme Court, unless otherwise indicated.

### **COMMENT**

The language of Rule 1.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

In new subsection (d), the Supreme Court Rules Advisory Committee recommends that all forms be consolidated on the judicial council's website to make them easily accessible and to facilitate updates.

# CHIEF JUDGE OF THE COURT OF APPEALS CHIEF JUDGE OF THE COURT OF APPEALS

- (a) **Designation.** The Supreme Court will designate a chief judge of the Court of Appeals.
- (b) <u>Chief Judge's Administrative Powers.</u> The <u>Cchief Jiudge</u> of the Court of Appeals shall have has the following administrative powers:
  - (a)(1) Tto designate and number hearing panels, assign judges to such the panels, and designate the presiding judge of each panel of which the Cchief Jjudge is not a member-;
  - (b)(2) Tto assign cases for hearing and determination to the various panels for hearing and determination. designated under paragraph (1);
  - (e)(3) Tto designate the times time and places place for the hearing of all each eases case pending before the court. Hearings may be held at any place within the state as provided in K.S.A. 20-3013. In determining taking into where any case is to be heard due consideration shall be given to where it the case arose and to the relative convenience and expense of the parties, court, and counsel.;
  - (d)(4) Tto designate a judge to conduct a prehearing conference whenever when the court shall have has ordered one to be held before a single judge under Rule 1.04.;
  - (e)(5) Tto establish, after consultation with the other members of the court, internal operating procedures for the orderly handling of the court's business and the fair distribution of work among its members-; and
  - (f)(6) Tto perform such any other necessary administrative duties duty as may be required and which are not otherwise provided for by law statute or by supreme court rule.

# **COMMENT**

The language of Rule 1.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

New subsection (a) specifies how the chief judge is selected. See K.S.A. 20-3011.

# JUDICIAL ADMINISTRATION JUDICIAL ADMINISTRATION

- (a) JUDICIAL ADMINISTRATOR: QUALIFICATIONS, TENURE. The Judicial Administrator shall have a broad knowledge of judicial administration and substantial prior experience in an administrative capacity.
- (b) PRACTICE OF LAW PROHIBITED. The Judicial Administrator shall not engage in the practice of law, apart from duties as Judicial Administrator, while serving in such capacity.
- (e)(a) DUTIES OF JUDICIAL ADMINISTRATOR. Judicial Administrator's Duties. The Jiudicial Aadministrator shall be is responsible to the Supreme Court and shall must implement the Court's policies of the court with respect to governing the operation and administration of the district and appellate courts under the supervision of the Cchief Jiustice. The Jiudicial Aadministrator shall must:
  - (1) Eexamine the state of the district courts' dockets of the district courts, and determine the need for assistance by any such court and report the same to the Supreme Court; if the judicial administrator determines that a district court needs assistance;
  - (2) <u>C</u>collect and compile statistics on all <u>eauses</u> <u>cases</u> filed in each <u>district and appellate</u> court <u>of this state</u> and annually submit to the Supreme Court a <u>complete and</u> detailed report on the state of the <u>dockets of the</u> courts' <u>dockets</u>;
  - (3) Conduct such periodic surveys, as may be required, of the judicial business of the courts of this state and determine periodically for the district and appellate courts the number of pending cases pending in said courts, the number disposed of since the previous report, and such any additional information about the courts' judicial business the judicial administrator or the Supreme Court as may be deemed deems necessary.
  - (4) <u>Mmake recommendations to the departmental justices relating to the about interdistrict judicial</u> assignments of judges from one district court to another and assist the departmental justices in making such the assignments;
  - (5) <u>Ssupervise</u> and examine the administrative methods and systems <u>employed</u> <u>used</u> in the <u>offices of the</u> district courts, including the offices of the clerks and other officers, and make recommendations to the Supreme Court for <u>the improvement of administration of said courts</u> <u>administrative improvements</u>;
  - (6) Aassist the Supreme Court in the management of the fiscal affairs of the judicial department branch's fiscal affairs, including federal grants;

- (7) Coordinate the judicial and nonjudicial personnel orientation and education of judges and court personnel; and
- (8) Pperform such any duties duty as may be required by statute or assigned to the Judicial Administrator by the Supreme Court.
- (d)(b) DUTIES OF COURT CLERKS. Court Clerk's Duties. All The clerks of the district and appellate courts shall must promptly:
  - (1) make <u>required</u> reports to the <del>J</del>judicial <del>A</del>administrator; and
  - (2) furnish the information requested by the Jjudicial Aadministrator or a departmental justice on such forms furnished by the Jjudicial Aadministrator and approved by the Supreme Court.
- (e)(c) JUDICIAL DEPARTMENTS. Judicial Departments. Pursuant to K.S.A. 20-318, et seq., as amended, the State of Kansas is hereby divided into the following six judicial departments:
  - (1) Judicial Department No. 1 <u>-</u> which shall be comprised of the Twelfth, Fifteenth, Seventeenth, Twenty-third, and Twenty-eighth judicial districts of the State of Kansas;
  - (2) Judicial Department No. 2 which shall be comprised of the Second, Third, Eighth, and Twenty-first judicial districts of the State of Kansas;
  - Judicial Department No. 3 <u>-</u> which shall be comprised of the First, Fourth, Seventh, Twenty-second, and Twenty-ninth judicial districts of the State of Kansas;
  - (4) Judicial Department No. 4 <u>-</u> which shall be comprised of the Sixth, Tenth, Eleventh, Fourteenth, and Thirty-first judicial districts of the State of Kansas;
  - (5) Judicial Department No. 5 <u>-</u> which shall be comprised of the Fifth, Ninth, Thirteenth, Eighteenth, Nineteenth, and Thirtieth judicial districts of the State of Kansas; and
  - (6) Judicial Department No. 6 which shall be comprised of the Sixteenth, Twentieth, Twenty-fourth, Twenty-fifth, Twenty-sixth, and Twenty-seventh judicial districts of the State of Kansas.
- (f)(d) DEPARTMENTAL JUSTICE. Inter-district Assignment for Specific Case. A departmental justice may within that justice's department assign any a district judge, or district magistrate judge, of the district court within that justice's department to hear or try

- any cause <u>a case</u> in <u>other another</u> district <u>courts</u> within the department and may request the <u>assistance assignment</u> of <u>any a judge of the district court</u> from another department, <u>if such is available</u> to <u>aid in the trying of hear or try any a case within the department</u>.
- (g)(e) REQUEST FOR ASSISTANCE. Inter-district Request for Assistance. The chief judge of a judicial district may request the assistance assignment of a judge of the district court from another judicial district by filing such the request with the Jjudicial Aadministrator, who shall must promptly refer such the request with the Jjudicial Aadministrator's recommendation thereon to on the request to the appropriate departmental justice for consideration.
- (h) DUTY OF REGULAR JUDGE. When a judge has been assigned to another judicial district, it shall be the duty of the chief judge to refer cases to the assigned judge for disposition, giving preference to cases which are at issue and cannot be tried because of accumulation of business, and to arrange courtroom accommodations for such assigned judge, all subject to supervision of the Judicial Administrator.
- (i)(f) RETIRED JUSTICES OR JUDGES. Retired Justice or Judge. A departmental justice may recommend to the chief justice the assignment of a Any retired justice of the Supreme Court, judge of the eCourt of aAppeals, or district judge may be designated and assigned to perform such judicial duties in a district court in the department to the extent as that justice or judge the retiree is willing to undertake serve. Designation for such service in any judicial district shall be recommended by the departmental justice of such district and the assignment made by the Chief Justice. The departmental justice of a judicial district makes the recommendation to designate the retiree for service in the district. The chief justice may make the assignment.
- (g) <u>Duty of Chief Judge of District Receiving Judicial Assistance.</u> A chief judge of a judicial district who requests and receives assistance from a judge of the district court from another district or from a retired justice or judge must subject to the judicial administrator's supervision:
  - (1) refer cases to the assigned judge, giving preference to cases that are at issue and cannot be tried because of accumulation of business;
  - (2) arrange courtroom accommodations for the assigned judge; and
  - (3) designate a court employee to serve as contact for the assigned judge.

# **COMMENT**

The language of Rule 1.03 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Former subsections (a) and (b) were deleted because the content appears in K.S.A. 20-318, and the information is important only to the judicial administrator.

New subsection (g)(3) was added to improve communication with an assigned judge.

# PREHEARING CONFERENCE PREHEARING CONFERENCE

On motion of any party or on its own, motion an appellate court may direct the attorneys for the parties' attorneys to appear before the court or a judge or justice thereof its designee for a prehearing conference to consider the simplification of the issues and such other matters as that may aid in the disposition of the proceeding by the court. The court or judge or justice shall make must issue an order which that recites the agreements made by the parties as to any of the matters considered and which limits the issues to those not disposed of by admissions or agreements agreement of counsel, and such. The order when entered controls the subsequent course of the proceeding, unless modified to prevent manifest injustice.

# **COMMENT**

The language of Rule 1.04 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The rule clarifies that an appellate court may designate a judge to conduct a prehearing conference for the court.

# FORM AND SERVICE OF PAPERS GENERALLY FORM AND SERVICE OF PAPERS GENERALLY

- (a) Paper Size, Type, and Statutory Requirements. Except as Unless the court permits otherwise, specifically required by these rules or permitted by the court, all every petitions petition, briefs brief, motions motion, applications application, or other papers paper sought to be brought to the attention of filed with the clerk of the appellate courts shall must be in black typed type or print on an standard size (8½ x 11") sheets sheet, with one-inch margins. All filings shall comply with, and be are subject to, the conditions of K.S.A. 60-205, K.S.A. 60-206 (a) and (d), K.S.A. 60-210, and K.S.A. 60-211.
- (b) Filing. All Every petitions petition, briefs brief, motions motion, applications application, or other papers paper filed with the clerk of the appellate courts shall must include the name, address, and telephone number, fax number, and e-mail address of the person filing the same it. If such A papers paper are filed by counsel, they shall also an attorney must include the counsel's attorney's Kansas attorney registration number and an indication indicate of the party litigant whom counsel represents party represented. If multiple attorneys appear on behalf of the same party, one shall must be designated lead counsel attorney for purposes of subsequent filings and notices.
- (c) <u>Service.</u> Service is subject to K.S.A. 60-205. Parties, by written agreement, may effect service by electronic means.
- (c)(d) <u>Time Computation.</u> The computation of time in the appellate courts shall follow K.S.A. 60-206(a) and (d). In the appellate courts, time is computed under K.S.A. 60-206(a) and (d).
- (d)(e) <u>Clerk's Duties.</u> The clerk of the appellate courts shall must keep a separate file for each cause case in which all such filed documents shall must be preserved. The clerk shall endorse must record on each paper filed the date of the filing, and shall on which each document is filed and must maintain an appearance docket comparable to, and for the same general purpose of those required of that a clerks clerk of the district court maintains under K.S.A. 60-2601.

# **COMMENT**

The language of Rule 1.05 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

A fax number and e-mail address are now required. K.S.A. 60-205(b)(2)(E) now authorizes service by fax, and K.S.A. 60-211 was recently amended to require an e-mail address.

K.S.A. 60-205 permits service by electronic means if allowed by Supreme Court rule. New subsection (c) allows service by electronic means if the parties have a written agreement to do so.

# REMOVAL OF DOCUMENTS FROM FILES REMOVAL OF DOCUMENT FROM FILE

No document belonging to <u>in</u> the files of the appellate courts <u>shall may</u> be taken from the office or custody of the clerk of the appellate courts <u>except by permission of unless authorized by</u> the clerk.

# **COMMENT**

The language of Rule 1.06 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# NEWS MEDIA RECORDINGS NEWS MEDIA RECORDINGS

[History: Repealed effective September 1, 1988; see new Rule 1001.]

# FACSIMILE FILINGS FAX FILING

- (a) <u>10-Page Limit.</u> Routine A motions motion, pleadings pleading, or correspondence other document which do that does not require a filing fee will be accepted for filing by facsimile transmission fax if the document, together with any supporting documentation, does not exceed ten (10) pages. Briefs and petitions for review may not be filed by fax. The cover fax transmission sheet required by subsection (c) and the certificate of service is are not included in the 10-page limitation.
- (b) <u>No Page Limit Using Fax Filing Agency.</u> A party or an attorney may transmit <u>a documents</u> document by fax to a fax filing agency, without page limitation, for filing with the <u>an</u> appellate courts court.
- (c) <u>Fax Transmission Sheet.</u> Each A document transmitted by <u>facsimile fax</u> must include a <u>cover fax transmission</u> sheet <u>in the following format:</u> <u>on the judicial council form.</u>

	FACSIMILE TRANSMISSION SHEET
DATE:	
<del>TO:</del>	Clerk of the Appellate Courts  FAX Number: ()
FROM:	Attorney or Party Without Attorney (Name and Address)
	Kansas Attorney Registration Number:  Telephone Number: ( )  FAX Number: (  Attorney for (Name):
<del>RE:</del>	Appellate Case Number:
	Caption:
	<del>VS</del>
	Name of the Document Being Transmitted:
	Number of facsimile pages excluding this cover page:
OTHER INS	STRUCTIONS:

- (d) <u>Copies.</u> Only one copy of the pleadings or other papers a document shall <u>must</u> be transmitted; the <u>The Cclerk</u> of the <u>Aappellate Ccourts</u> will provide any additional copies required by these rules.
- (e) When a Fax Filing is Deemed Filed. Facsimile filings received in the Appellate Clerk's Office before 5:00 p.m. of a regular workday shall be deemed filed as of that day. Filings received after 5:00 p.m. shall be filed as if received on the next regular Court workday. Time of receipt will be the time printed by the Court's facsimile machine on the final page of the facsimile received document. A fax filing received by the court is deemed filed at the time recorded on the court's electronic fax log.
- (f) <u>Fax Signature</u>. Pleadings or other papers filed by facsimile transmission shall have the same effect as any other document filed with the Court. A facsimile <u>fax</u> signature shall have <u>has</u> the same effect as an original signature.
- (g) <u>Certificate of Service.</u> The A certificate of service for a fax filing shall must state the date of service and the facsimile fax telephone numbers of both the sender and the receiver any party served by fax.

# **COMMENT**

The language of Rule 1.08 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Subsection (a) clarifies that briefs and petitions for review may not be filed by fax and that the certificate of service is not included in the 10-page limit.

Subsection (e) has been amended, consistent with Rule 119(c)(7), to accept filings 24 hours a day. Reference to the time recorded on the court's electronic fax log is consistent with current e-fax technology.

The Fax Transmission Sheet, formerly incorporated into the rule, will be moved to the judicial council website.

### **RULE 1.09**

# ENTRY OF APPEARANCE/WITHDRAWAL OF ATTORNEY ENTRY OF APPEARANCE/WITHDRAWAL OF ATTORNEY

- (a) ENTRY OF APPEARANCE. Entry of Appearance. Any An attorney who enters an appeal/action or action after the case has been docketed must file with the clerk of the appellate courts an entry of appearance and proof of service on opposing counsel all parties.
- (b) WITHDRAWAL OF ATTORNEY. Any attorney who has appeared of record in an appellate proceeding may withdraw but only after the attorney serves a motion for withdrawal on the client and on opposing counsel, files a copy of the motion and proof of service thereof with the clerk of the appellate courts, and a justice or judge of the appellate courts enters an order approving the withdrawal. Withdrawal of Attorney When Client Will be Left Without Counsel. When withdrawal of an attorney who has appeared of record in an appellate proceeding will leave the client without counsel, the attorney may withdraw only when:
  - (1) the attorney has served a motion for withdrawal on the client—and on all parties—that:
    - (A) states the reasons for the withdrawal, unless doing so would violate an applicable standard of professional conduct;
    - (B) provides evidence that the withdrawing attorney provided the client:
      - (i) an admonition that the client is personally responsible for complying with all orders of the court and time limitations established by the rules of procedure or by court order; and
      - (ii) notice of the date of any pending hearing, conference, or deadline; and
    - (C) provides the court with a current mailing address and telephone number for the client, if known;
  - (2) the attorney has filed the motion with the clerk of the appellate courts under Rule 5.01; and
  - (3) a justice or judge of the appellate courts issues an order approving the withdrawal.

- (c) Withdrawal of Attorney When Client Continues to Be Represented by Other Counsel of Record. When the client will continue to be represented by other counsel of record, an attorney may withdraw without a court order by filing a notice of withdrawal of appearance with the clerk of the appellate courts. The notice must:
  - (1) identify the attorney of record admitted to practice law in Kansas who will continue to represent the client; and
  - (2) be served on the client and all parties.
- (d) Withdrawal of Attorney When Client Will Be Represented by Substituted Counsel. An attorney may withdraw without court order upon simultaneous substitution of counsel admitted to practice law in Kansas by:
  - (1) <u>filing a notice of withdrawal of counsel and entry of appearance of substituted counsel signed by both the attorney withdrawing and the attorney to be substituted as counsel; and</u>
  - (2) serving the notice on the client and all parties.
- (e) <u>Withdrawal of Attorney When Client is Represented by Appointed Counsel.</u> When an appointed attorney seeks to withdraw from a case:
  - (1) the attorney must file a motion with the clerk of the appellate courts under Rule 5.01, stating the reasons for withdrawal, if the attorney may ethically do so;
  - (2) the attorney must serve the motion for withdrawal on the client and all other parties;
  - if a judge or justice of the appellate courts issues an order approving the withdrawal, the case must be remanded to the appropriate district court for appointment of new appellate counsel unless substitute counsel has already entered an appearance. The district court must appoint new counsel within 30 days.

# **COMMENT**

The language of Rule 1.09 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The rule has been significantly expanded, based on United States District Court of Kansas local rule 83.5.5.

# ADMISSION PRO HAC VICE OF OUT OF STATE ATTORNEY BEFORE THE KANSAS APPELLATE COURTS ADMISSION PRO HAC VICE OF OUT-OF-STATE ATTORNEY BEFORE THE KANSAS APPELLATE COURTS

- (a) Eligibility for Admission *Pro Hac Vice*. Any An attorney not admitted to the practice of law in Kansas may be admitted on motion to practice law in a Kansas appellate court—for a particular case only—if the attorney:
  - (1) but who is regularly engaged in the practice practicing of law in another state, territory of the United States territory, or the District of Columbia; and who
  - (2) is in good standing pursuant to <u>under</u> the rules of the highest appellate court in that jurisdiction; and
  - (3) may on motion be admitted to practice law in the appellate courts of this state for the purposes of a particular case only, upon showing shows that he or she has associated an association with an attorney of record in the case who:
    - (A) is regularly engaged in the practice of practicing law in Kansas; and
    - (B) who is in good standing under all of the applicable rules of the Kansas Supreme Court <u>rules</u>.
- (b) <u>Kansas Attorney's Duties.</u> The Kansas attorney of record <u>under subsection (a)</u> shall <u>must:</u>
  - (1) be actively engaged in the conduct of the case;
  - (2) shall sign all pleadings, documents, and briefs; and
  - (3) shall be present at a prehearing conference or oral argument, if scheduled.
- (c) <u>Service.</u> Service of a paper in a case may be had upon on the associated Kansas attorney of record under subsection (a) in all matters connected with the case with has the same effect as if personally made served on the out-of-state attorney within this state admitted pro hac vice.
- (b)(d) *Pro Hac Vice* Motion. A separate motion for admission *pro hac vice* must be filed for each case.
  - (1) **Requirements.** A The motion must be:

- (A) filed by the Kansas attorney of record;
- (B) accompanied by the out-of-state attorney's verified application, shall be in writing and complying with subsection (e);
- (C) shall be filed with the clerk of the appellate courts at the time of when the case is docketing docketed or, if the motion relates to briefing or oral argument, not later than 15 days before the brief due date or oral argument date-; and
- (D) The motion and verified application shall be served on all counsel of record parties and on the out-of-state attorney's client.
- (2) **Denial of Motion.** If the court denies the motion, it must state reasons for the denial.

# (e)(e) Verified Application.

- (1) <u>Contents.</u> The An out-of-state attorney's verified application <u>for admission pro hac</u> vice shall must include:
  - (1)(A) a statement identifying the party or parties represented;
  - (2)(B) the name, business address, telephone number, <u>fax number</u>, <u>e-mail address</u>, and Kansas attorney registration number of <u>local counsel</u> the Kansas attorney of record;
  - (3)(C) the applicant's residence address, <u>and</u> business address, <u>and business</u> telephone number, <u>fax number</u>, and e-mail address;
  - (4)(D) the bar(s) to which the applicant is admitted, the date(s) of admission, and the applicable attorney registration number(s);
  - (5)(E) a statement that the applicant is a member in good standing of each bar;
  - (6)(F) a statement that the applicant has not been the subject of prior public discipline, including but not limited to suspension or disbarment, in any jurisdiction;
  - (7)(G) a statement that the applicant is not currently the subject of a disciplinary action or investigation in any jurisdiction or, if the applicant is currently the subject of a disciplinary action or investigation, the application shall must

provide a detailed description of the nature and status of the action or investigation as well as and the address of the disciplinary authority in charge; and

- (8)(H) if applicable, the case name, case number, and the court in which the applicant has been granted permission to appear *pro hac vice* in Kansas within the preceding 12 months.
- (2) Obligation to Report Changes. The applicant has a continuing obligation to notify the clerk of the appellate courts if a change occurs in any of the information provided in the application.
- (d)(f) <u>Fee.</u> A non-refundable fee of \$100, payable to the clerk of the appellate courts, shall must accompany the a motion and verified application in each case for admission pro hac vice in each case. An attorney employed by a governmental agency An attorney representing the government or an attorney who represents an indigent party may move for waiver of the fee \_\_\_ for good cause \_\_\_ shown for waiver of the fee.
- (e)(g) Consent to Disciplinary Jurisdiction. By applying for admission *pro hac vice* under this rule, Any an out-of-state attorney admitted pursuant to this rule shall be subject consents to the order exercise of, and amenable to disciplinary action jurisdiction by, the Kansas appellate courts of this state.
- (f) A separate motion shall be filed for each case, and the motion may be granted or denied in the discretion of the appellate court. If the motion is denied, reasons shall be stated.
- (g)(h) <u>Appearance Pro Se.</u> Nothing in this <u>This</u> rule shall be construed to does not prohibit any a party from appearing personally before the <u>an</u> appellate courts court on his or her the party's own behalf.

### **COMMENT**

The language of Rule 1.10 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (b)(3) clarifies that the Kansas attorney must attend a prehearing conference.

New subsection (e)(1)(B) and (C) adds references to fax number and e-mail address. K.S.A. 60-205(b)(2)(E) now authorizes service by fax, and K.S.A. 60-211 was recently amended to require an e-mail address.

# INITIATION AND DOCKETING OF APPEAL

### **Rule 2.01**

# FORM OF NOTICE OF APPEAL, SUPREME COURT FORM OF NOTICE OF APPEAL, SUPREME COURT

When an appeal is permitted directly to the Supreme Court is permitted, the notice of appeal shall must be filed in the district court, shall be under the caption of the district court case, in the district court and be in substantially substantial compliance with the following judicial council form:

# **NOTICE OF APPEAL**

Notice is hereby given that (specify the party or parties taking the appeal) appeal(s) from (designate the judgment or part thereof appealed from) to the Supreme Court of the State of Kansas. The appeal hereby taken is directly to the Supreme Court on the ground that (state ground on which direct appeal is considered to be permitted, including citation of statutory authority).

Appellant or Attorney for Appellant(s)
Address
Telephone Number

(Add certificate of service on all parties in accordance with K.S.A. 60-205.)

# **COMMENT**

The language of Rule 2.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

The form will be removed from the rule and placed on the judicial council website.

# **Rule 2.02**

# FORM OF NOTICE OF APPEAL, COURT OF APPEALS FORM OF NOTICE OF APPEAL, COURT OF APPEALS

In all <u>a cases case</u> in which a direct appeal to the Supreme Court is not permitted, the notice of appeal shall <u>must</u> be filed in the district court, shall be under the caption of the <u>district court</u> case, in the district court and <u>be</u> in substantially the following <u>substantial compliance with the judicial council</u> form:

### NOTICE OF APPEAL

Notice is hereby given that (specify the party or parties taking the appeal) appeal(s) from (designate the judgment or part thereof appealed from) to the Court of Appeals of the State of Kansas.

Appellant or Attorney for Appellant(s)
Address
Telephone Number

(Add certificate of service on all parties in accordance with K.S.A. 60-205.)

# **COMMENT**

The language of Rule 2.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

The form will be removed from the rule and placed on the judicial council website.

# **Rule 2.03**

# PREMATURE NOTICE OF APPEAL PREMATURE NOTICE OF APPEAL

A notice of appeal filed subsequent to an announcement by the judge of the district court on a judgment to be entered, but prior to the actual entry of judgment as provided in K.S.A. 60-258, shall be effective as notice of appeal under K.S.A. 60-2103 if it identifies the judgment or part thereof from which the appeal is taken with sufficient certainty to inform all parties of the rulings to be reviewed on appeal. Such advance filing shall have the same effect for purposes of the appeal as if the notice of appeal had been filed simultaneously with the actual entry of judgment, provided it complies with K.S.A. 60-2103(b).

- (a) When a Premature Notice of Appeal is Effective. A notice of appeal that complies with K.S.A. 60-2103(b) filed after a judge of the district court announces a judgment to be entered, but before the actual entry of judgment is effective as notice of appeal under K.S.A. 60-2103 if it identifies the judgment or part of the judgment from which the appeal is taken with sufficient certainty to inform all parties of the rulings to be reviewed on appeal.
- (b) Timing of a Notice of Appeal Challenging Certain Posttrial Motions. A party intending to challenge an order disposing of any of the following motions, or a judgment's alteration or amendment upon such a motion, must file a notice of appeal in compliance with these rules not later than 30 days after the entry of the order disposing of the last such remaining motion:
  - (1) for judgment under K.S.A. 60-250(b);
  - (2) to amend or make additional factual findings under K.S.A. 60-252(b), whether or not granting the motion would alter the judgment;
  - (3) to alter or amend the judgment under K.S.A. 60-259;
  - (4) for a new trial under K.S.A. 60-259; or
  - (5) for relief under K.S.A. 60-260 if the motion is filed not later than 28 days after the judgment is entered.

# **COMMENT**

The language of Rule 2.03 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (b) reflects case law in Kansas that formerly was not covered by Rule 2.03. The rule now makes clear that, if a party wants to appeal an issue related to a posttrial motion in addition to other issues in the case, a notice of appeal is required after the posttrial motion is resolved. Federal Rule of Appellate Procedure 4(a)(4)(B)(ii) contains a similar provision.

# **Rule 2.04**

# DOCKETING ON APPEAL DOCKETING AN APPEAL

# (a) <u>Timing; Required Documents.</u>

- (1) Appellant. Within Not later than 21 days after the filing of the a notice of appeal, is filed in a district court, the appellant or a cross appellant shall must complete or obtain and file with the clerk of the appellate courts, along with:
  - (A) the original and one copy of the docketing statement required by Rule 2.041;
  - (a)(B) a <u>file-stamped certified</u> copy of the notice of appeal;
  - (b)(C) a <u>file-stamped certified</u> copy of the <u>final order or decision appealed from</u> (journal entry, judgment form, or <u>trial court's memorandum opinion if different from journal entry or judgment form) other appealable order or decision;</u>
  - (e)(D) a <u>file-stamped certified</u> copy of any <del>post-trial</del> <u>posttrial</u> motion and any ruling thereon on the motion;
  - (d)(E) a <u>file-stamped certified</u> copy of any certification <del>pursuant to</del> <u>under</u> K.S.A. 60-254(b); <del>and</del>
  - (e)(F) a copy of any request for transcript <u>under Rule 3.03</u>, <u>a</u> statement that no transcript will be requested, or <u>a</u> certificate of completion if <u>a</u> transcript has been requested and completed; and
  - (G) if applicable, any document required under subsections (b) and (c).

Items (a) through (d) are to be file-stamped copies certified by the clerk of the district court.

- (2) <u>Cross-Appellant.</u> Not later than 21 days after a notice of cross-appeal is filed in a district court, the cross-appellant must complete or obtain and file with the clerk of the appellate courts:
  - (A) the original and one copy of the docketing statement required by Rule 2.041;
  - (B) a file-stamped certified copy of the notice of cross-appeal; and

- (C) a copy of any request for transcript by the cross-appellant, a statement that no transcript will be requested, or a certificate of completion if a transcript has been requested and completed.
- (b) Prior Appeal to the District Court from Decision of Municipal, District Magistrate, or Pro Tem Judge. If the an appeal has been previously was taken from a decision of a municipal judge or a district magistrate judge to the district court, file-stamped certified copies of the municipal, or district magistrate, or pro tem judge's order and the notice of appeal to district court must also be included accompany the documents filed under subsection (a).
- (c) <u>Appeal from Decision of Administrative Tribunal.</u> If the <u>an</u> appeal originates from a decision of an administrative tribunal's, <u>decision</u>, the appellant or cross-appellant shall also file with the clerk of the appellate courts file-stamped certified copies of the agency decision, any <u>motions motion</u> for rehearing and <u>the rulings thereon ruling on the motion</u>, and the petition for judicial review <u>must accompany the documents filed under subsection (a)</u>.

# (d) **Docket Fee.**

- (1) Generally. In addition to filing the documents required under subsections (a), (b), and (c), The an appellant shall also must pay at the time of docketing unless payment is excused or delayed under this subsection a docket fee in the sum of \$125.00, unless the docket fee is excused or payment thereof delayed as herein provided in addition to any applicable surcharge. The docket fee is nonrefundable and is the only cost assessed by the clerk's office for an appeal.
- (2) <u>Indigent Appellant.</u> The docket fee shall be is excused when:
  - (a)(A) The appellant has previously been determined to be indigent by the district court previously determined the appellant to be indigent, and the appellant's attorney for appellant certifies to the clerk of the appellate courts that the appellant remains indigent; or
  - (b)(B) The the district judge shall certify certifies that:
    - (1)(i) That the judge believes the appellant is indigent; and
    - (2)(ii) That in the interest of the party's appellant's right of appeal, an appeal should be docketed *in forma pauperis*.
- (c)(3) Government Entities. In accordance with Under K.S.A. 60-2005, the Sstate of Kansas and its agencies and all cities and counties in this state are exempted in any a civil action from paying the docket fee provided for in this rule required in paragraph

(1). If, on final determination of the case, the costs are assessed against the state, a state agency, or any a city or county in this state, the costs shall must include the amount of the docket fee.

# (e) Clerk's Notice of Docketing.

- (1) Required Notice. Upon On filing of the documents required by under this rule and the payment or excuse for nonpayment of the docketing docket fee, the clerk of the appellate courts shall must:
  - (A) notify all parties that the appeal has been docketed; and
  - (B) shall inform them as to include in the notification the appellate number assigned thereto to the appeal.
- (2) Parties Entitled to Notice. Parties designated to receive notice shall include The notice required by paragraph (1) must be served on the attorney or party who signs signed the docketing statement and those on whom the docketing statement is was served.
- (3) Others Desiring Notice. Others who wish to receive notices A party not listed in paragraph (2) must file a separate an entry of appearance to receive notices.

The docketing fee shall be nonrefundable and shall be the only costs assessed for the clerk's office for each appeal.

### COMMENT

The language of Rule 2.04 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Subsection (a)(1)(c) recognizes that some appealable orders are not final orders.

New subsection (a)(2) requires fewer documents from a cross-appellant because scanned documents filed by the appellant are readily available to court staff.

Subsection (b) adds a pro tem judge's order to those which may have been appealed to the district court.

Subsection (d)(1) acknowledges the surcharge which is applicable to the appellate docket fee.

# **Rule 2.041**

# DOCKETING STATEMENT DOCKETING STATEMENT

(a) In all appeals, the appellant or cross appellant shall file with the clerk of the appellate courts an original and one copy of a docketing statement within 21 days after the filing of the notice of appeal or cross-appeal in the district court. A copy of the docketing statement shall be served on all other parties to the appeal. Within 15 days after service of the docketing statement, the appellee or cross-appellee may file an original and one copy of an answer to the docketing statement but only if the statement of facts or issues in the docketing statement is insufficient to provide the court a fair summary of the facts and issues on appeal. The contents of the docketing statement or answer thereto shall not be grounds for a motion for relief by any party.

(b) The docketing statement shall be in one of the following forms, as applicable:

# Example 1:

# Case Caption: County Appealed From: District Court Case No(s): Proceeding Under Chapter: Party Filing Appeal: Party or Parties Who Will Appear as Appellees:

# **DOCKETING STATEMENT-CIVIL**

The docketing statement is used by the court to determine jurisdiction and to make calendar assignments pursuant to Rules 7.01(c) and 7.02(f). This is not a brief and should not contain argument or procedural motions.

	Il Classification: From the list of civil topic sub-types listed below, choose the which best describes the <b>primary</b> issue in this appeal.
Proc	ceedings in the District Court:
<del>a.</del>	Trial Judge from whose decision this appeal is taken:
<del>b.</del>	List any other judge who has signed orders or conducted hearings in this
	matter: -
<del>c.</del>	Was this case disposed of in the district court by:
	Jury trial
	————Bench trial
	————Summary Judgment
	—— Dismissal

<del>d.</del> e.	State the name of each court reporter and/or transcriptionist who or transcribed any or all of the record for the case on appeal.  substitute for a request for transcript served on the individual transcriptionist pursuant to Rule 3.03.)	(This is not a
£.	State the legal name of all entities who are NOT listed in the (including corporations, associations, parent, subsidiary, or afficentities) who are parties or who have a direct involvement in appeal:	iliate business
<del>g.</del>	State the name, address, and telephone number of every attorrepresented a party in district court if that attorney's name does on the certificate of service attached to this docketing staten identify each party represented.	S NOT appear
<del>Juris</del>	ediction:	
<del>a.</del>	Date journal entry or judgment form filed:	
<del>b.</del>	Is the order appealed from a final order, i.e., does it dispose	
	of the action as to all claims by all parties?	
e.	If the order is not a final disposition as to all claims by all	
	parties, did the district court direct the entry of judgment in-	
	accordance with K.S.A. 60-254(b)?	
	If applicable, date K.S.A. 60-254(b) certificate filed:	
	=	
<del>d.</del>	Date any post-trial motion filed:	
e.	Date disposition of any post-trial motion filed:	
<del>f.</del>	Date notice of appeal filed in district court:	
<del>g.</del>	Other relevant dates necessary to establish this court's	
	jurisdiction to hear the appeal, i.e., decisions of	c.
	administrative agencies or municipal courts and appeals there:	<del>trom:</del>
h	Statutory authority for appeal:	
<del>h.</del>	<del>statutory authority for appear.</del>	

<del>3.</del>

	<del>i.</del>	admin this c If "ye relate	here any proceedings in any other court or nistrative agency, state or federal, which might impact ase or this court having jurisdiction (yes or no)? es," identify the court or agency where the ed proceeding is pending. List these case captions he case or docket numbers.	
4.	Cons	stitution	al Challenges to Statutes or Ordinances:	
			ute or ordinance found to be unconstitutional by the	
	<del>trial</del>	<del>court (y</del>	es or no)?	
			t statute or ordinance?	
<del>5.</del>	Rela	ted Case	es/Prior Appeals:	
	<del>a.</del>		re any case now pending or about to be filed in the	
		Kans	as Appellate Courts which:	
		<del>(1)</del>	Arises from substantially the same case or controversy	
			as this appeal (yes or no)?	
			If "yes," give case caption and docket number.	
		<del>(2)</del>	Involves an issue that is substantially the same, similar or related to an issue in this appeal (yes or no)?	
			If "yes," give case caption and docket number.	
	<del>b.</del>		here been any prior appeal involving this case	
			ntroversy (yes or no)?	
		<del>II "ye</del>	es," give case caption and docket number.	
<del>6.</del>	Brief	f stateme	ent (less than one page), without argument, of the material	facts. This is
		ntended	to be a substitute for the factual statement which that will a	
<del>7.</del>	Conc state	cise state ment-bu	ement of the issues proposed to be raised. You will not be but should include issues now contemplated. Avoid general judgment is not supported by the law."	
			Attorney's Signature	
			Attorney's Name (typed or printed) Kansas Attorney Registration Number	

Address

Telephone Number

	FAX Number		
	Name of the Party Represented		
	Date:		
	ATTACH PROOF OF SERVICE		
	(List all parties served, including name, address, and who they represent.)		
CIVIL TOPIC SUB-TYPES: Select the or Question 1 above.	ne sub-type which best describes this appeal. See-		
Administrative KS Corporation	Governmental Immunity		
Commission	Habeas appeal from district court		
Administrative Licensing	Insurance		
Administrative Public Utility Rate Case	<del>Jurisdiction</del>		
Administrative Taxation	Juvenile Offenders Code		
Administrative Workers Compensation	K.S.A. 60-1507-		
Administrative Other	Libel and Slander		
Certified Question	Mandamus appeal from district court		
Children Adoption	Negligence		
Children-CINC	Oil and Gas		
Children Termination of Parental Rights	Personal Property		
Conservators/Conservatorships	Probate Probate		
Constitutional Law	<del>Procedure</del>		
Contracts	Quo Warranto appeal from district		
Creditors and Debtors	court		
Damages-Personal Injury	Real Property		
Damages Property	Statutory Interpretation or Construction		
Damages Punitive	Teacher Employment/Due Process		
<del>Divorce</del>	Torts (specify sub-type)		
Election Contest	Wrongful Death		
Eminent Domain	<del>Zoning</del>		
Employment	Other (please specify):		
Example 2:			
IN THE (SUPREME COURT) (COU	RT OF APPEALS) OF THE STATE OF KANSAS		
Case Caption County A	Appealed From:		
	District Court Case No(s):		
<del>Party Fili</del>			
	Parties Who Will Appear as		
Cross-A	Appellees:		

# **DOCKETING STATEMENT - CIVIL - CROSS-APPEAL**

	The	e docket	no statem	ent is use	d by the	court to	make c	alendar	assionm	ents nursus	nt to Rule
			•		•				_	<del>ents pursua</del>	
7.01(	<del>c) anc</del>	<del>17.02(f)</del>	<del>. This is r</del>	<del>iot a brief</del>	and sho	<del>uld not</del>	<del>-contair</del>	<del>i argum</del>	ent or p	<del>rocedural r</del>	<del>notions.</del>

- 1. Date notice of cross-appeal filed in district court:
- 2. Brief statement (less than one page), without argument, of the facts material to the cross-appeal. This is not intended to be a substitute for the factual statement which will appear in the brief.
- 3. Concise statement of the issues proposed to be raised. You will not be bound by this statement, but should include issues now contemplated. Avoid general statements such as "the judgment is not supported by the law."

Attorney's Signature
Attorney's Name (typed or printed)
Kansas Attorney Registration Number
Address
Telephone Number
FAX Number
Name of the Party Represented
Date:
ATTACH PROOF OF SERVICE
(List all parties served, including name, address, and
who they represent.)

# Example 3:

# IN THE (SUPREME COURT) (COURT OF APPEALS) OF THE STATE OF KANSAS

**Case Caption** 

County Appealed From: \_\_\_\_\_\_

District Court Case No(s): \_\_\_\_\_

Party Filing Appeal: \_\_\_\_\_

# **DOCKETING STATEMENT - CRIMINAL**

The docketing statement is used by the court to determine jurisdiction and to make calendar assignments pursuant to Rules 7.01(c) and 7.02(f). This is not a brief and should not contain argument or procedural motions.

1.	Crimi	nal Classification:
	<del>a.</del>	Conviction of (offense[s], statute[s], and classification[s] of crime[s]):
	<del>b</del>	Date of offense(s) committed:
<del>2.</del>	Proce	edings in the District Court:
	<del>a.</del>	Trial Judge from whose decision this appeal is taken:

<del>b.</del>	<del>List a</del>	ny other judge who has signed orders or conducted hearin	gs in this
e.		this case disposed of in the district court by:	
C.		— Jury trial	
		Bench trial	
		— Plea	
		— <del>Dismissal</del>	
<del>d.</del>	Lengt	h of trial, measured in days (if applicable):	
e.	State	the name of each court reporter and/or transcriptionist who	<del>has reported</del>
		nscribed any or all of the record for the case on appeal. (7	
		itute for a request for transcript served on the individual	reporter or
	<del>transo</del>	eriptionist pursuant to Rule 3.03.)	
_			
<del>f.</del>	State	the name, address, and telephone number of any attorney	who has
		sented a party in district court if that attorney's name does	
	1 1	ar on the certificate of service attached to this docketing st	<del>atement.</del>
	Clear	ly identify each party represented.	
<del>Juris</del>	diction:		
<del>a.</del>	<del>Date</del>	sentence was pronounced from the bench:	
<del>b.</del>		notice of appeal filed in district court:	
e.	Custo	odial status:	
	<del>(1)</del>	Is the defendant subject to appeal bond or	
		incarcerated?	
	<del>(2)</del>	Earliest possible release date, if incarcerated:	
		If sentencing is challenged on appeal, it is the	
		State's obligation to notify the appellate clerk	
		in writing of any change in the custodial status	
		of the defendant during the pendency of the appeal.	
		See Rule 2.042.	
<del>d.</del>	Statu	tory authority for appeal:	
e.	Are t	here any co-defendants (yes or no):	
	<del>If "ye</del>	es," what are their names?	
<del>f.</del>	Are t	here any proceedings in any other court or administrative	
		ey, state or federal, which might impact this case or this	
		having jurisdiction (yes or no)?	
		$\mathcal{L}_{\mathbf{J}}$	

<del>3.</del>

	proce		ecourt or agency where the relateding. List the case captions and the case	
4.	Was the tr	any statute or o ial court (yes o	enges to Statutes or Ordinances: ordinance found to be unconstitutional by r no)?	<u> </u>
Rela	•	s, what statute s/ <del>Prior Appeal</del>		
<del>a.</del>	Is the		w pending or about to be filed in the	
	(1)	as this appea	substantially the same case or controvers l (yes or no)? e case caption and docket number.	<del></del>
	(2)	similar or rel appeal (yes o	e case caption and docket number.	
<del>b.</del>	or co	ntroversy (yes o	orior appeal involving this case or no)? aption and docket number.	
Concestate	ntended cise state ment, bu	to be a substitute ement of the issuit should include	ne page), without argument, of the material te for the factual statement which will appues proposed to be raised. You will not be de issues now contemplated. Avoid general temported by the law."  Attorney's Signature	ear in the b bound by
			Attorney's Name (typed or printed) Kansas Attorney Registration Number	
			Address Telephone Number FAX Number	

who they represent.)

(c) Any answer to the docketing statement shall be in the following form:

# Example 4:

# IN THE (SUPREME COURT) (COURT OF APPEALS) OF THE STATE OF KANSAS

Caca	Cantion
Casc	Caption

Annallata Court No.	
Appenate Court No	

# **DOCKETING STATEMENT - ANSWER**

The docketing statement is used by the court to determine jurisdiction and to make calendar assignments pursuant to Rules 7.01(c) and 7.02(f). The docketing statement and answer are not briefs. The answer to the docketing statement should consist only of a concise statement of additional facts or clarification of issues which the appellee or cross-appellee feels are necessary to provide the court a fair picture of the case. If the statement of facts and issues in the docketing statement is sufficient, there is no need to file an answer. THE ANSWER SHOULD NOT CONTAIN ARGUMENT OR PROCEDURAL MOTIONS.

- 1. Brief statement (less than one page), without argument, of any material facts not set forth in the docketing statement. This is not intended to be a substitute for the factual statement which will appear in the brief.
- 2. Concise statement of clarification of any issues set forth in the docketing statement.

Attorney	'c	Signature
TITOTHEY	S	DIZHATAL

Attorney's Name (typed or printed)

Kansas Attorney Registration Number

**Address** 

Telephone Number

**FAX Number** 

Name of the Party Represented

Date:

# ATTACH PROOF OF SERVICE

(List all parties served, including name, address, and who they represent.)

(a) Time to File. Not later than 21 days after a notice of appeal or cross-appeal is filed in a district court, the appellant or cross-appellant must file with the clerk of the appellate courts an original and one copy of a docketing statement, along with other documents required under Rule 2.04.

- (b) Service. A copy of the docketing statement must be served on all other parties to the appeal or cross-appeal.
- (c) Answer to Docketing Statement. If the statement of facts or issues in a docketing statement is insufficient to provide the court a fair summary of the facts and issues on appeal, an appellee or cross-appellee may file not later than 15 days after service of the docketing statement an original and one copy of an answer to the docketing statement.
- (d) **No Grounds for Relief.** No party may file a motion based on the contents of a docketing statement or an answer to a docketing statement.
- (e) Form. A docketing statement and an answer to a docketing statement must be on the applicable judicial council form.

### **COMMENT**

The language of Rule 2.041 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Forms will be moved to the judicial council website.

A fax number and e-mail address are now required on the forms. K.S.A. 60-205(b)(2)(E) now authorizes service by fax, and K.S.A. 60-211 was recently amended to require an e-mail address.

### **Rule 2.042**

# CUSTODIAL STATUS OF DEFENDANT IN SENTENCING APPEALS CUSTODIAL STATUS OF DEFENDANT IN SENTENCING APPEAL

When sentencing is challenged in a criminal appeal a criminal appeal challenges sentencing, appellant's counsel attorney must include on the docketing statement information regarding about defendant's custodial status. After the appeal is docketed, it is the State's State is obligation obligated to notify serve notice on the clerk of the appellate elerk courts in writing of any change in the custodial status of the defendant's custodial status during the pendency of while the appeal is pending.

## **COMMENT**

The language of Rule 2.042 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

### **Rule 2.05**

# MULTIPLE APPEALS MULTIPLE APPEALS

- (a) When Multiple Appeals Must Be Docketed Together. When more than one appeal is taken to an appellate court from the judgments or orders entered at or about the same time entered at or about the same time in the same case in the a district court case, or from the same a consolidation of actions in the district court cases, all such the appeals shall must be docketed together and only one docket fee shall be is required. Thereafter, the caption of the case in the appellate court, and the record, to be prepared and briefs to be filed in accordance with these rules, shall be prepared, and oral arguments argument shall must be conducted, proceed as a consolidated proceeding, unless the court orders a separation thereof of the appeals.
- (b) <u>Separate Appeal Required After Docketing.</u> Any An appeal from a judgment or order entered in the district court after an appeal in the same case is docketed in an appellate court in the same case shall <u>must</u> be docketed as a separate appeal, subject to consolidation under Rule 2.06 if appropriate and if the first appeal is still pending.

### **COMMENT**

The language of Rule 2.05 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

### **Rule 2.06**

# CONSOLIDATION OF APPEALS CONSOLIDATION OF APPEALS

- (a) When Consolidation is Permitted. Two or more appeals in separate cases Separate appeals may be consolidated into one appellate proceeding if when:
  - (1) one or more <u>common</u> issues <del>common to the appeals</del> are so nearly identical that a decision in one appeal would <del>appear to</del> be dispositive of all the appeals; or
  - (2) the interest of justice would be otherwise would be served by consolidation.
- (b) Motion to Consolidate. An appellate court may make an order of consolidation:
  - (1) on the motion of any a party's motion under Rule 5.01; or
  - on a notice by the court on its own motion after notice to the parties to show cause why such the appeals should not be consolidated.
- (c) <u>Docket Number of Consolidated Appeals.</u> If the When a court then orders consolidation, all further subsequent proceedings shall will be conducted under the earliest lowest docket number.
- (d) <u>Briefing and Oral Argument.</u> Any A party in a consolidated appeal may file a separate brief and be separately heard <del>upon</del> on oral argument.
- (e) <u>Stay in Lieu of Consolidation.</u> In lieu of ordering a consolidation, an appellate court may issue an order staying all proceedings in an appeal to be stayed to await the determination of issues in a pending appeal apparently dispositive of both appeals until common issues in a separately pending appeal are determined.

### **COMMENT**

The language of Rule 2.06 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

### **RECORD ON APPEAL**

### **Rule 3.01**

# CONTENT OF RECORD

- (a) THE ENTIRE RECORD. Entire Record. The entire record shall consists of:
  - (1) all the original papers and exhibits filed in the district court;
  - (2) the court reporter's notes and transcripts of all proceedings;
  - (3) any other court authorized record of the proceedings, (including an electronic recordings), recording; and
  - (4) the entries on the appearance docket in the <u>district court clerk's</u> office <del>of the clerk of the district court.</del>

# (b) THE RECORD ON APPEAL. Record on Appeal.

- (1) The record on appeal consists of Tthat portion of the entire record which is to be filed with the clerk of the appellate courts shall be determined and prepared for filing in accordance with these rules, but the required by these rules or requested by a party.
- (2) An appellate court may, on its own, order any or all that additional parts of the entire record to be filed.

### **COMMENT**

The language of Rule 3.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# PREPARATION OF RECORD ON APPEAL FOR FILING PREPARATION OF RECORD ON APPEAL FOR FILING

- (a) <u>Timing.</u> It shall be the duty of the clerk of the district court within Not later than fourteen (14) days after notice from the clerk of the appellate courts that the <u>an</u> appeal has been docketed, the clerk of the district court must to compile the record on appeal in one or more convenient volumes the following:
- (b) **Volume; Requirements.** The following rules apply to a volume contained in a record on appeal:
  - (1) a "volume" may be a file, folder, or other binder into which papers are securely fastened;
  - (2) each page in a volume must be conveniently viewable and separately numbered;
  - (3) each volume must be numbered and display on its face the volume number and the case caption; and
  - (4) to the extent possible, the papers within a volume and, if applicable, the volumes within a record on appeal must be arranged in chronological order by filing date.
- (a)(c) Contents of Record on Appeal. The record on appeal consists of the following:
  - (1) In a civil case: a A certified copy of the appearance docket and the following original documents:
    - (A) In a civil case:
      - (i) the petition or, if amended, the amended petition;
      - (ii) the answer or, if amended, the amended answer;
      - (iii) any reply or, if amended, the amended reply;
      - (iv) the pretrial order(s);
      - (v) the opinion, findings, and conclusions of the trial district court;
      - (vi) the jury verdict, if any;

- (vii) the judgment; and
- (viii) the notice of appeal.

If a petition, answer, or reply has been amended, the amended document shall be included in lieu of the original.

- (2)(B) In a criminal case: a certified copy of the appearance docket and the following original documents:
  - (i) the complaint, indictment, or information, and any amendment thereto to the original;
  - (ii) any written plea;
  - (iii) the jury verdict of the jury, if any;
  - (iv) the journal entry of judgment; and
  - (v) the notice of appeal-; and
  - (3)(vi) In criminal cases, upon the on filing of a written request by trial or appellate counsel, the clerk of the district court shall also include in the record the presentence report, any report that may have been received from the Topeka correctional facility (for crimes committed prior to July 1, 1993) appropriate reception and diagnostic facility of the Kansas Department of Corrections, any report from the state security hospital, and all other diagnostic reports. All such reports shall be collected by If the inclusion of reports is requested under this paragraph, the clerk must and included include the specified reports in one a separate volume of the record on appeal. which shall The separate volume must be kept sealed except when being used by appellate counsel or the courts.
- (4) Insofar as convenient, all such documents shall appear in the chronological order of their filing.
- (b)(2) All reporters' transcripts of proceedings before the district court as which are then available at the time the clerk of the district court compiles the record on appeal.
- (c) Any other document which is a part of the entire record, upon the duly served written request of a party. Each such document shall be specified with particularity, and a request for remaining portions of the entire record without such particularization shall not be sufficient. If any such

document is an exhibit which was offered or admitted into evidence and is in the custody of the court reporter, a copy of the request shall also be served on the reporter, who shall forthwith deliver such exhibit to the clerk for inclusion in the prepared record.

Additions to the record on appeal may be made in one of the following ways:

If the record on appeal has not been transmitted to the clerk of the appellate courts, the party requesting the addition shall serve the clerk of the district court with a written request for the addition. The clerk of the district court shall add the requested documents to the record on appeal. No court order is required.

If the record on appeal has been transmitted to the clerk of the appellate courts, the party requesting the addition shall file a motion with the proper appellate court. Additions to the record on appeal shall be made only upon an order of the clerk of the appellate courts or a justice or judge thereof.

Within the meaning of this rule, a "volume" may consist of any type of file, folder, or other binder into which documents may be securely fastened and be capable of convenient examination. Each volume shall be numbered on its face together with the caption of the case, and the pages in each volume shall be separately numbered.

In addition, the clerk of the district court shall prepare and include in the prepared record a table of contents showing the volume and page number of each document contained therein. A copy of the table of contents shall be furnished to each party.

- (3) Any other paper or exhibit that is added to the record on appeal under subsection (d).
- (4) The clerk of the district court must prepare and include in the record on appeal a table of contents showing the volume and page number of each paper or exhibit contained in the record. A copy of the table of contents must be furnished to each party.
- (d) Addition to Record on Appeal. A party may request adding to the record on appeal any part of the entire record under Rule 3.01(a). The following rules apply:
  - (1) Addition Must Be Specified With Particularity. A request under this subsection must specify the addition with particularity. A request for remaining portions of the entire record without particularization is not sufficient.
  - (2) <u>If Record on Appeal Has Not Been Transmitted</u>. If the record on appeal has not been transmitted to the clerk of the appellate courts, the following rules apply:
    - (A) The party requesting the addition must serve the request on the clerk of the district court and if the requested addition is an exhibit that was offered or admitted into evidence and is in a court reporter's custody on the reporter, who promptly must deliver the exhibit to the clerk of the district court for inclusion in the record on appeal.

- (B) The clerk must add the requested addition to the record on appeal. No court order is required.
- (3) If Record on Appeal Has Been Transmitted. If the record on appeal has been transmitted to the clerk of the appellate courts, the party requesting the addition must file a motion in the proper appellate court. An addition to the record on appeal may be made only on an order of the clerk of the appellate courts or an appellate justice or judge. If a requested addition is an exhibit that was offered or admitted into evidence and is in a court reporter's custody, a copy of the order granting the motion must be served on the reporter, who promptly must deliver the exhibit to the clerk of the district court for inclusion in the record on appeal.

### **COMMENT**

The language of Rule 3.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# TRANSCRIPTS IN RECORD ON APPEAL TRANSCRIPT IN RECORD ON APPEAL

- REQUESTING TRANSCRIPTS: DUTY OF APPELLANT: STIPULATION. Requesting (a) Transcript; Appellant's Duty; Stipulation. When an appeal is taken in a case in which the appellant considers a hearing transcript of any hearing necessary to properly present the appeal, it shall be the duty of the appellant to must request a the transcript of such hearing within not later than twenty-one (21) days after of the filing of the notice of appeal in the district court. Such The request shall must be clearly designated "for appeal purposes." Unless all affected parties stipulate as to that specific portions which are not required for the purposes of the appeal, the request shall must be for a complete transcript of any such the hearing, except for the jury voir dire, opening statements, and closing arguments of counsel, which shall not be transcribed unless specifically requested. Counsel for the parties shall must make a good faith effort to so stipulate to avoid unnecessary expenses. The appellate court may consider A an unreasonable refusal to stipulate may be considered by the appellate eourt in when apportioning the cost of the transcript under Rule 7.07(e)(d). Jury voir dire, opening statements, and closing arguments of counsel will not be transcribed unless specifically requested.
- (b) No Court Order Required for Transcript Request. Notwithstanding K.S.A. 22-4505(b), 22-4506(b), and 22-4509, no a district court order shall be is not required before requesting to request a transcript transcript from the a court reporter.
- (b)(c) TRANSCRIPTS REQUESTED BY APPELLEE. Transcript Requested by Appellee. Within Not later than fourteen (14) days after service of appellant's request under subsection (a), the appellee may request a transcript of the jury voir dire, opening statements, closing arguments, or any other hearing not requested by appellant, but the appellee shall be is responsible for payment for such the additional transcript, including advance payment, in the same manner as the appellant is responsible for the main transcript.
- (e)(d) FILING AND SERVICE. Filing and Service. The original of any a transcript request shall must be filed in the district court. Any request shall be and served on the reporter and all parties. At the time of docketing the appeal is docketed under Rule 2.04, the appellant shall must file with the clerk of the appellate courts a copy of the initial transcript request in accordance with Rule 2.04 and a copy of any stipulation for less than a complete transcript of any a hearing. Any An additional transcript requests request shall must be filed and served and filed in a similar the same manner.
- (d)(e) TIME SCHEDULE FOR TRANSCRIPTS; CERTIFICATE OF COMPLETION. <u>Time</u>

  <u>Schedule for Transcripts</u>; Certificate of Completion. <u>The A</u> transcript shall <u>must</u> be completed within <u>not later than forty (40)</u> days after service of the <u>a</u> request unless the court

reporter applies for and receives an extension of time under Rule 5.02. Upon completion of any such transcript the The court reporter shall must file the same completed transcript with the clerk of the district court and shall must mail serve to on the clerk of the appellate courts and to each party a certificate of completion showing the date of the filing of the same. The A certificate of completion shall must identify the date of hearing date, and the type of hearing transcribed, and the date the transcript was filed. The transcript and the certificate of completion as well as the certificate filed with the transcript shall must include the court reporter's Supreme Court certified court reporter registration number assigned by the Supreme Court.

(e)(f) ADVANCE PAYMENT. Advance Payment. If demanded by the court reporter, the An appellant, other than the state or a state agency or subdivision, shall must advance the payment of the estimated cost of any a requested transcript requested, except that such advance payment shall be waived unless a written estimate of the amount thereof and a demand for payment of the same is if the court reporter served serves on the appellant within not later than fourteen (14) days of after receipt of the order for the transcript a request for a transcript — the estimated cost and demand for advance payment. The A reporter who properly serves a demand for advance payment under this subsection will is not be required to begin the transcript until the reporter receives payment of the estimated cost has been received by the reporter. No advance payment shall be required if the transcript is to be paid for by the state or any agency or subdivision thereof. Failure to make such advance payment within not later than fourteen (14) days after service of the a demand under this subsection for the same shall be is ground for dismissal of the appeal by order of the appellate court.

# **COMMENT**

The language of Rule 3.03 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# UNAVAILABILITY OF TRANSCRIPT UNAVAILABILITY OF TRANSCRIPT OR EXHIBIT

- <u>Transcript.</u> In If the event no official transcript of the evidence or proceedings at a hearing or trial can be made and no other official record is available unavailable, a party to an appeal may prepare a statement of the evidence or proceedings from the best available means, including his the party's own recollection, for use instead of a transcript. Within fourteen (14) days after the filing of the notice of appeal, the The statement shall must be served on the adverse all parties, who may serve an objection objection or proposed amendments amendment thereto within not later than fourteen (14) days after being served. Thereupon, the The statement with and any objections objection or proposed amendments amendment shall then must be submitted to the judge of the district court for settlement and approval, and as As settled and approved, the statement shall must be included in the record on appeal by the clerk of the district court in the record on appeal.
- (b) Exhibit. If an exhibit offered, admitted, or excluded in a hearing or trial is unavailable, a party to an appeal may prepare a photocopy or any facsimile that accurately duplicates the original exhibit. The substitute exhibit must be served on all parties, who may serve an objection or proposed amendment not later than 14 days after being served. The substitute exhibit and any objection or proposed amendment then must be submitted to the district court for settlement and approval. As settled and approved, the substitute exhibit must be included by the clerk of the district court in the record on appeal.

### **COMMENT**

The language of Rule 3.04 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

In subsection (a), the initial 14-day time limit from notice of appeal in which to file a statement has been deleted. The unavailability of a transcript is not usually discovered that early in the appeal process.

Subsection (b) expands the rule to include unavailable exhibits.

# APPEAL ON AGREED STATEMENT APPEAL ON AGREED STATEMENT

When the questions presented by an appeal can be determined without an examination of the evidence and proceedings in the district court In place of the record on appeal as defined in Rule 3.01, the parties may prepare, and sign, and submit to the district court not later than 21 days after filing the notice of appeal a statement of the case showing how the questions issues presented by the appeal arose and were decided in the district court, and The statement must setting set forth only those facts asserted and proven or sought to be proven which that are essential to a decision of the questions by the appellate court's resolution of the issues. The statement shall must include a copy of the judgment appealed from, a copy of the notice of appeal with its filing date, and a concise statement of the issues raised. The statement shall be submitted to the judge of the district court and if approved as conforming to the truth and as including all matters necessary to fully present the questions raised by the appeal, If the statement is truthful, it — together with any additions that the district court may consider necessary to a full presentation of the issues on appeal — must be approved by the district court. it The statement shall then must be filed with the clerk of the district court within twenty (20) days after filing the notice of appeal, and shall constitutes the record on appeal in lieu of the record provided for specified in Rule 3.02.

### **COMMENT**

The language of Rule 3.05 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The 20-day period has been changed to 21 days, consistent with time frames in the Code of Civil Procedure.

The Supreme Court Rules Advisory Committee recommends that the time limit be placed on submission to the district court rather than to the clerk of the district court. The parties have no control over how long the judge has the agreed statement under consideration.

# ACCESS TO PREPARED RECORD ACCESS TO RECORD ON APPEAL

Each volume of the prepared record on appeal shall must be available to the parties to the appeal during the periods of time allotted for the preparation of their respective briefs. During such these times, any an attorney who is a member of the Kansas bar of this state who and is counsel of record shall be permitted to may — unless removal is restricted by the court for good cause — remove the record from the clerk's office, but shall be is responsible to the court for its prompt to return the record in its original condition to the office of the clerk upon completion of the brief.

### **COMMENT**

The language of Rule 3.06 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The rule has been amended to allow the court to restrict removal of the record for good cause.

# TRANSMISSION OF PREPARED RECORD TRANSMISSION OF RECORD ON APPEAL

- (a) Request for Transmission; Time. Upon On expiration of the time permitted under Rule 6.01 for the filing of briefs permitted under Rule 6.01, or as such any granted extensions of that time may have been extended, the clerk of the appellate courts may notify request that the clerk of the district court to transmit the record on appeal prepared in accordance compliance with Rules 3.02, 3.04, or 3.05 to the clerk of the appellate courts. The clerk of the district court shall must forward such the record within not later than seven (7) days after the receipt of such direction the clerk of the appellate court's request.
- (b) Documents of Unusual Bulk or Weight; Physical Exhibits Other Than Documents.

  Documents of unusual bulk or weight and physical exhibits other than documents shall need not be transmitted by the clerk unless the clerk is directed to do so by a party requests that the clerk of the district court transmit them. A party must make advance arrangements with the clerk of the district court for the their transportation of exhibits of unusual bulk or weight and for the cost of such transportation transporting them.

### **COMMENT**

The language of Rule 3.07 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# COPY OF RECORD ON APPEAL COPY OF RECORD ON APPEAL

Before a record on appeal is transmitted to the clerk of the appellate courts, any a party to the action in the district court may file a written request with the clerk of the district court that all or some part of the record be duplicated and that such the duplicate be retained in the office of the clerk of the district court. Upon ascertaining the cost of such duplication and the On payment thereof in advance by the party making the request by the requesting party of the amount the clerk of the district court specifies as the cost of duplication, the clerk shall must effect such duplication duplicate the requested documents and transmit before transmitting to the clerk of the appellate courts the original record on appeal as required by under Rule 3.07.

#### COMMENT

The language of Rule 3.08 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# PREPARATION OF RECORD FOR SUPREME COURT OF THE UNITED STATES

# PREPARATION OF RECORD FOR UNITED STATES SUPREME COURT

- (a) Request for Record. When an appeal is taken to the United States Supreme Court of the United States, or grants a petition for a writ of certiorari is filed in such court concerning a decision of a Kansas appellate court, a request for record shall be filed in duplicate with the clerk of the Kansas appellate courts not less than thirty (30) days prior to the date the record must be filed with the federal court. The request for record shall specify in numbered sequence the documents and exhibits to be certified to the federal court. will certify and transmit the record on appeal to the United States Supreme Court on request of the clerk of the United States Supreme Court.
- (b) If Record in District Court. When the necessary record on appeal, or any part thereof, has been returned to the district court, the clerk of the Kansas appellate courts shall must request the clerk of the district court to transmit promptly to the clerk of the Kansas appellate courts certified true copies of any required documents. If exhibits are to be part of the record, the original exhibits shall be transmitted to the clerk of the Kansas appellate courts the record on appeal.

### **COMMENT**

The language of Rule 3.09 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The rule has been amended to reflect current procedure.

New subsection (a) clarifies that the record is not sent to the United States Supreme Court until that court requests it.

New subsection (b) clarifies that the record on appeal may need to be retrieved from the district court.

### INTERLOCUTORY APPEALS

### **Rule 4.01**

# INTERLOCUTORY APPEALS IN CIVIL CASES INTERLOCUTORY APPEAL IN CIVIL CASE UNDER K.S.A. 60-2102(c)

When an appeal is sought under the provisions of K.S.A. 60 2102(c) an application for permission to take such an appeal shall be served within fourteen (14) days after the filing of the order from which an appeal is sought to be taken. The order may be amended to include the findings required by K.S.A. 60 2102(c) provided a motion to amend is served and filed within fourteen (14) days of the filing of the order, and the application for permission to take an appeal may be served within fourteen (14) days after filing of the amended order. An original and three (3) copies of the application shall be filed with the clerk of the appellate courts in addition to the docket fee required by Rule 2.04. The application shall be docketed as a regular appeal to the court of appeals.

The application shall:

- (a) state the relevant facts, including the nature and a brief history of the proceedings in the district court with all the important dates, and
- (b) have annexed thereto a certified copy of the order from which the appeal is sought to be taken and in which the judge of the district court makes the findings required by K.S.A. 60-2102(e), and
- (c) state briefly the controlling question of law which the order is believed to involve, the ground for the difference of opinion with respect thereto which is believed to be substantial, and the basis for belief that an immediate appeal may materially advance the ultimate termination of the litigation.

Any adverse party may within seven (7) days after service thereof serve a response thereto. The application and response shall be submitted without oral argument. If permission to appeal is granted, the notice of appeal shall be filed in the district court within the time fixed by K.S.A. 60-2103, for taking an appeal or within fourteen (14) days after permission to appeal is granted, whichever is later. Within fourteen (14) days after such filing, a certified copy of the notice of appeal, a copy of any request for transcript or statement that no transcript will be requested, and an original and one copy of the docketing statement required by Rule 2.041 shall be filed with the clerk of the appellate courts. The appeal shall thereupon be deemed docketed. In such case no additional docket fee shall be charged and the record on appeal shall be filed under the same docket number.

- (a) Application; Filing and Service. Not later than 14 days after an order is entered from which an appeal is sought under K.S.A. 60-2102(c), an application for permission to take the appeal must be:
  - (1) <u>filed with the clerk of the appellate courts along with 3 copies and the required</u> docket fee; and
  - (2) served on all attorneys of record and unrepresented parties.

- (b) Amended Order; Timing. An order may be amended to include the findings required by K.S.A. 60-2102(c) if a motion to amend is served and filed not later than 14 days after the order is filed. If an order is amended under this subsection, an application for permission to take an appeal must be served and filed not later than 14 days after the amended order is entered.
- (c) <u>Docketing of Application.</u> An application under this rule will be docketed as a regular appeal to the Court of Appeals.
- (d) **Application; Contents.** An application under this rule must:
  - (1) state the relevant facts, including:
    - (A) the facts necessary to understand the question presented;
    - (B) the question itself;
    - (C) the relief sought;
    - (D) the nature of the district court proceedings; and
    - (E) a brief history of the proceedings, including all important dates;
  - (2) state briefly:
    - (A) the controlling question of law involved;
    - (B) the substantial ground for difference of opinion about the controlling question of law; and
    - (C) the basis for belief that an immediate appeal may materially advance the ultimate termination of the litigation;
  - include as an attachment a file-stamped certified copy of the order which must contain the findings required under K.S.A. 60-2102(c) from which the appeal is sought to be taken; and
  - if an order has been amended under subsection (b), include as attachments file-stamped certified copies of the motion to amend and the amended order.
- (e) Response. A party may serve and file a response to an application under this rule not later than 7 days after being served with the application. The application and response will be submitted without oral argument.

- (f) Notice of Appeal Not Required. A notice of appeal need not be filed. The date when the order granting permission to appeal is entered serves as the date of the notice of appeal for calculating time under these rules.
- (g) Docketing the Appeal. If permission to appeal is granted, no additional docket fee will be charged, and the record on appeal will be filed under the docket number assigned to the application. The appeal is deemed docketed when not later than 21 days after the order granting permission to appeal is entered the following are filed with the clerk of the appellate courts:
  - (1) a copy of a request for transcript filed under Rule 3.03, a written statement that no transcript will be requested, or a certificate of completion of the transcript; and
  - (2) an original and one copy of the docketing statement required by Rule 2.041.

# **COMMENT**

The language of Rule 4.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The title of the rule has been narrowed to reflect only the discretionary interlocutory appeal covered by the rule.

Under new subsection (f), the date when the order granting permission to appeal is entered serves as the date of the notice of appeal for calculating time. Federal Rule of Appellate Procedure 5(d)(2) contains a similar provision.

# **Rule 4.01A**

# INTERLOCUTORY APPEAL IN CIVIL CASE UNDER K.S.A. 60-223(f)

- (a) Application; Filing and Service. Not later than 14 days after an order is entered from which an appeal is sought under K.S.A. 60-223(f), an application for permission to take the appeal must be:
  - (1) <u>filed with the clerk of the appellate courts along with 3 copies and the required docket fee; and</u>
  - (2) served on all other parties to the district court action.
- (b) **Docketing of Application.** An application under this rule will be docketed as a regular appeal to the Court of Appeals.
- (c) **Application; Contents.** An application under this rule must:
  - (1) state the relevant facts, including:
    - (A) the facts necessary to understand the question presented;
    - (B) the question itself;
    - (C) the relief sought;
    - (D) the reasons why the appeal should be allowed;
    - (E) the nature of the district court proceedings; and
    - (F) a brief history of the proceedings, including all important dates;
  - include as an attachment a file-stamped certified copy of the order from which the appeal is sought to be taken.
- (d) Response. A party may serve and file a response to an application under this rule not later than 7 days after being served with the application. The application and response will be submitted without oral argument.
- (e) Notice of Appeal Not Required. A notice of appeal need not be filed. The date when the order granting permission to appeal is entered serves as the date of the notice of appeal for calculating time under these rules.

- (f) Docketing the Appeal. If permission to appeal is granted, no additional docket fee will be charged, and the record on appeal will be filed under the docket number assigned to the application. The appeal is deemed docketed when not later than 21 days after the order granting permission to appeal is entered the following are filed with the clerk of the appellate courts:
  - (1) a copy of a request for transcript filed under Rule 3.03, a written statement that no transcript will be requested, or a certificate of completion of the transcript; and
  - (2) an original and one copy of the docketing statement required by Rule 2.041.

### **COMMENT**

The Supreme Court Rules Advisory Committee recommends adoption of a new rule covering interlocutory appeals of class certification orders.

### **Rule 4.02**

# INTERLOCUTORY APPEALS BY THE PROSECUTION INTERLOCUTORY APPEAL BY PROSECUTION

- (a) Notice of Appeal. When an appeal is taken to the Court of Appeals under the provisions of K.S.A. 22-3601(a) and K.S.A. 22-3603, the notice of appeal shall must be filed with the clerk of the district court within not later than fourteen (14) days after the entry of the order from which the appeal is taken. A copy of the notice of appeal shall must be served upon on defense counsel or upon on the defendant, if the defendant has no counsel unrepresented.
- (b) <u>Docketing the Appeal.</u> Within Not later than twenty one (21) days after the filing of the notice of appeal is filed, the prosecution shall must forward file to with the clerk of the appellate courts the documents listed in paragraphs (1) through (4). The appeal will be docketed on filing of:
  - (1.) A <u>a</u> file-stamped certified copy of the notice of appeal.;
  - (2-) An an original and one copy of the docketing statement required by Rule 2.041-;
  - (3-) A <u>a</u> file-stamped certified copy of the order appealed from, <u>or</u>, if the order is not in writing, a transcript of the court's announcement of its order, <u>to the order</u> together with any written opinion or memorandum of the <u>trial district</u> court relating <u>thereto</u>. to the order; and
  - (4-) A <u>a copy of a</u> request for transcript filed <del>pursuant to</del> <u>under</u> Rule 3.03, or a written statement indicating no transcript is necessary, or a certificate of completion of the transcript.

The appeal shall thereupon be deemed docketed.

- (b)(c) Record on Appeal. The clerk of the district court must prepare the record on appeal under Rule 3.02. The record on appeal shall consists of the following documents: and such other portions of the record as may be required by the appellate court:
  - (1) a copy of:
    - 1.(A) A copy of the warrant, search warrant, confession, or other written evidence quashed or suppressed, and a description of any physical evidence or a summary of any oral admission or testimony suppressed.; or
    - (B) <u>a description approved by the district court of any physical evidence or</u> a summary of any oral admission or testimony suppressed;

- (2-) Copies a copy of any affidavits affidavit and the transcript of any testimony which that:
  - (A) provided the basis for the issuance of a warrant or search warrant which has been that was quashed; or
  - (B) which served as the basis for the seizure of evidence which has been that was suppressed;
- (3-) <u>Lif</u> testimony was taken on the motion to quash or suppress, a copy of the transcript, or <u>— if the parties agree in lieu thereof</u>, by agreement, a narrative statement of the testimony-; and
- (4) any other portion of the record required by the appellate court.

The clerk of the district court shall prepare the record pursuant to Rule 3.02.

- (e)(d) Briefing Schedule. The prosecution must serve and file its brief Within not later than thirty (30) days after service being served of with the certificate of filing completion of the transcript in accordance with under Rule 3.03, the prosecution shall serve and file its brief or not later than 40 days after docketing if no transcript is requested. The defense must serve and file its brief Within not later than thirty (30) days after service being served of with the prosecution's brief, the defense may serve and file its brief.
- (d)(e) <u>Stay of District Court Proceedings.</u> Further proceedings in the district court shall be <u>are</u> stayed pending determination of the appeal.
- (e)(f) Post-mandate Action by District Court. Upon After receipt of the mandate, and on the motion of the prosecution's motion, the trial district court shall must issue:
  - (1) either an order for the defendant to appear; or
  - (2) an alias warrant for the defendant's arrest.

### **COMMENT**

The language of Rule 4.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (c)(1)(B) requires that the district court approve any description or summary added to the record on appeal.

### **MOTIONS**

### **Rule 5.01**

# APPELLATE COURT MOTIONS APPELLATE COURT MOTION

(a) Every application to an appellate court, unless made during a hearing, shall be by written motion stating with particularity the grounds therefor and the relief or order sought. The motion shall be filed with the clerk of the appellate courts and shall be accompanied by eight (8) legible copies if filed in the Supreme Court and by three (3) legible copies if filed in the Court of Appeals. Any other party may, within seven (7) days after service of a motion, serve and file a response thereto with a like number of copies. Extensions of time up to twenty (20) days may be granted by the clerk of the appellate courts or the court without waiting for a response. Oral arguments on motions will not be permitted unless ordered by the court.

(b) Parties who are represented by counsel shall only be allowed to file motions on their own behalf to remove counsel or to file supplemental briefing. Such motions shall be served on their counsel and all other parties involved in the appeal. This subsection shall not preclude the filing of any motions by parties appearing *pro se*.

- (a) Generally. Unless made during a hearing, an application to an appellate court must be by written motion and must state with particularity the ground for the motion and the relief or order sought. Each motion may contain only a single subject.
- (b) **Filing Requirements.** A motion must be:
  - (1) filed with the clerk of the appellate courts; and
  - (2) accompanied by 8 copies if filed in the Supreme Court or 3 copies if filed in the Court of Appeals.
- (c) Response to a Motion. A party may serve and file a response accompanied by the number of copies specified in subsection (b)(2) not later than 7 days after being served with a motion.
- (d) Extension of Time. The clerk of the appellate courts or the court may grant an extension of time not exceeding 20 days without waiting for a response.
- (e) **Oral Argument.** Oral argument on a motion will be permitted only by court order.
- (f) Motion by Represented Party. A party represented by counsel may file a motion on the party's own behalf only to remove counsel or to file a supplemental brief. A motion filed

under this subsection must be served on the party's counsel and all other parties to the appeal. This subsection does not apply to a party appearing *pro se*.

# **COMMENT**

The language of Rule 5.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (a) requires that motions be limited to a single subject. A portion of the request may be overlooked if requests are combined in a single motion, and the internal processing may vary, depending on the request.

# EXTENSIONS OF TIME EXTENSION OF TIME

An application for an extension of time for the performance of any act required by any person by these rules shall be addressed only to the clerk of the appellate courts. No extension will be granted except on stated grounds reasonably indicating the necessity therefor. Consent of adverse parties to an application will be considered but will not be controlling. A copy of any application under this rule shall be served on all parties.

- (a) Motion for Extension of Time. A party that may or must perform an act required under these rules within a specified time may file with the clerk of the appellate courts a motion for an extension of time. The motion must be served on all parties and must state:
  - (1) the present due date;
  - (2) the number of extensions previously requested;
  - (3) the amount of additional time needed; and
  - (4) the reason for the request.
- (b) Adverse Party's Consent. An adverse party's consent to an extension of time will be considered, but is not controlling.
- (c) <u>Motion Filed After Time Expired.</u> A motion for an extension of time filed after the time to act has expired must state the reasons constituting excusable neglect.

# **COMMENT**

The language of Rule 5.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (a) specifies the required contents of a motion for extension of time.

New subsection (c) requires a statement of reasons constituting excusable neglect if the motion is filed out of time.

# CLERK'S AUTHORITY ON MOTIONS CLERK'S AUTHORITY ON MOTION

- (a) <u>Clerk's Authority to Rule on Motion.</u> Unless a motion is opposed, <u>The the clerk of the appellate courts may rule on any of the following a motions motion unless the motion is opposed:</u>
  - (a)(1) Motions for extension of time.;
  - (b)(2) Motions to make corrections correct in a briefs. brief;
  - (c)(3) Motions to substitute a parties party-; or
  - (d)(4) Motions to withdraw a briefs brief for making to make corrections.
- (b) <u>Clerk's Order.</u> Such orders shall be entered and shown on the docket by the clerk. <u>The clerk of the appellate courts must enter on the appearance docket an order issued under this rule.</u>

### **COMMENT**

The language of Rule 5.03 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# VOLUNTARY DISMISSALS VOLUNTARY DISMISSAL

Prior to filing of an opinion, an appellant may dismiss an appeal by stipulation or by filing and serving a notice of dismissal with the clerk of the appellate courts. A dismissal of the appeal of one party shall not affect an appeal taken by any other party. Unless a dismissal is by agreement of the parties, the court may on motion and reasonable notice assess against the appellant those costs and expenses incurred by the appellee to the date of the dismissal that would have been assessed against the appellant if the case had not been dismissed and there had been an affirmance of the judgment or order.

- (a) Voluntary Dismissal; When Allowed; Effect. Before an opinion is filed, an appellant may dismiss an appeal by stipulation or by filing with the clerk of the appellate courts and serving on all parties a notice of dismissal. A dismissal of one party's appeal does not affect any other party's appeal.
- (b) Assessment of Costs and Expenses. Unless the parties agree to a dismissal by stipulation, the court on motion and reasonable notice may assess against the appellant the costs and expenses incurred by the appellee before the dismissal date that would have been assessed against the appellant if the case had not been dismissed and the judgment or order had been affirmed.

## **COMMENT**

The language of Rule 5.04 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# INVOLUNTARY DISMISSALS INVOLUNTARY DISMISSAL

On the motion of a party with at least fourteen (14) days notice to the appellant, or on an appellate court's own motion by the issuance to the appellant of a notice to show cause within not less than fourteen (14) days why an appeal should not be dismissed, the appellate court may dismiss an appeal on account of a substantial failure to comply with the rules of the court, or for any other reason which by law requires dismissal. If dismissal is dependent on an issue of fact the appellate court may remand the case to the district court with direction to make findings of fact. In any case of a dismissal under this rule the court may assess costs and expenses in the same manner as under Rule 5.04 and Rule 7.07.

- (a) <u>Involuntary Dismissal.</u> An appellate court may dismiss an appeal due to a substantial failure to comply with these rules or for any other reason requiring dismissal by law:
  - (1) on motion of a party with at least 14 days' notice to the appellant; or
  - on the court's own by issuing to the appellant a notice to show cause not later than 14 days after the notice why the appeal should not be dismissed.
- (b) Remand for Fact Finding. If dismissal depends on an issue of fact, the appellate court may remand the case to the district court with direction to make findings of fact.
- (c) Costs and Expenses. When an appeal is dismissed under this rule, the court on motion and reasonable notice may assess against the appellant the costs and expenses incurred by the appellee before the dismissal date that would have been assessed against the appellant if the case had not been dismissed and the judgment or order had been affirmed.

### **COMMENT**

The language of Rule 5.05 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# DISMISSAL OF APPEALS BY DISTRICT COURT DISMISSAL OF APPEAL BY DISTRICT COURT

The district court shall have jurisdiction to dismiss an appeal where the appellant has filed the notice of appeal in the district court but has failed to docket the appeal with the clerk of the appellate courts. Failure to docket the appeal in compliance with Rule 2.04 shall be presumed to be an abandonment of the appeal and the district court may enter an order dismissing the appeal. The order of dismissal shall be final unless the appeal is reinstated by the appellate court having jurisdiction of the appeal for good cause shown on application of the appellant made within thirty (30) days after the order of dismissal was entered by the district court. An application for reinstatement of an appeal shall be made in accordance with Rule 5.01 and Rule 2.04 and shall be accompanied by a docket fee unless excused under Rule 2.04.

- (a) District Court's Jurisdiction to Dismiss an Appeal. When an appellant has filed a notice of appeal in the district court, but has failed to docket the appeal in compliance with Rule 2.04, the appeal is presumed abandoned and the district court may enter an order dismissing the appeal.
- (b) **Finality of Order of Dismissal.** A district court's order of dismissal pursuant to subsection (a) is final unless:
  - (1) the appellant, not later than 30 days after entry of the order:
    - (A) files with the clerk of the appellate courts in compliance with Rule 5.01 an application for reinstatement showing good cause for reinstatement; and
    - (B) submits all documents and pays the docket fee required by Rule 2.04, unless payment is excused; and
  - (2) the appellate court having jurisdiction of the appeal reinstates it for good cause shown.

### **COMMENT**

The language of Rule 5.051 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# RELEASE AFTER CONVICTION

An application by the defendant to the appellate court having jurisdiction of the appeal for release after conviction pursuant to K.S.A. 22-2804(2) or K.S.A. 21-4721(b) shall state the disposition made by the district court of the application, the nature of the offense and sentence imposed, the amount of any appearance bonds previously required in the case, the defendant's family ties, employment, financial resources, the length of the defendant's residence in the community, any record of prior convictions, and the defendant's record of appearance at court proceedings, including failure to appear. The application shall also be accompanied by the order of the trial court setting forth the reason for its action.

- (a) Generally. An application for release after conviction, under K.S.A. 22-2804(2) or 21-4721(b), may be made to the appellate court having jurisdiction of the appeal.
- (b) **Application; Requirements.** The application must:
  - (1) state the district court's disposition of the application;
  - (2) <u>state the nature of the offense and sentence imposed;</u>
  - (3) state the amount of any appearance bond previously required in the case;
  - (4) state the defendant's family ties, employment, and financial resources; the length of the defendant's residence in the community; and any record of defendant's prior convictions;
  - (5) state the defendant's record of appearance at court proceedings, including failure to appear; and
  - (6) include as an attachment a copy of the district court's order stating the reason for its action.
- (c) <u>Conditions.</u> If release is granted, the order must state any conditions imposed by the appellate court or may remand to the district court to establish conditions for the release.

# **COMMENT**

The language of Rule 5.06 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (c) requires the appellate court to state any conditions imposed if release is granted, or the court may remand to the district court to establish conditions for release.

### **BRIEFS**

#### **Rule 6.01**

# TIME SCHEDULE FOR BRIEFS TIME SCHEDULE FOR BRIEFS

(a) <u>Serving and Filing.</u> All A <u>briefs brief shall must</u> be served <del>upon on opposing counsel, all other parties</del> and thereafter then filed with the clerk of the appellate courts, according to the following schedule: not later than the time stated in subsection (b).

# (b) **Brief Filing Schedule.**

# (a)(1) Appellant's Brief Appellant's Brief.

- (A) If a reporter's transcript was not ordered or if the <u>all</u> transcripts was ordered and has been completed were filed with the clerk of the district court prior to before docketing, an appellant must file a brief within not later than forty (40) days after the date of docketing.
- (B) If a transcript was ordered, but was not filed before docketing, an appellant must file a brief within not later than thirty (30) days after service of the certificate of filing of the transcript in accordance with under Rule 3.03.
- (C) If a record on appeal includes the <u>a</u> statement of proceedings made pursuant to <u>under</u> Rule 3.04, or the <u>an</u> agreed statement <del>pursuant to <u>under</u></del> Rule 3.05, an appellant must file a brief within not later than thirty (30) days after the filing thereof statement is filed with the clerk of the district court.
- (b)(2) Appellee's Brief (and Cross-Appellant's Brief) Within thirty (30) days after service of the appellant's brief. Appellee or Appellee/Cross-Appellant's Brief. An appellee or appellee/cross-appellant must file a brief not later than 30 days after the appellant's brief is served.
- (c)(3) Cross Appellee's Brief Within twenty (20) days after service of the cross-appellant's brief. Cross-Appellee's Brief. A cross-appellee must file a brief not later than 21 days after the cross-appellant's brief is served.
- (d)(4) Appellee/Cross Appellee's Brief Within twenty (20) days after service of the appellee/cross-appellant's brief. Appellee/Cross-Appellee's Brief. An appellee/cross-appellee must file a brief not later than 21 days after the appellee/cross-appellant's brief is served.

(e)(5) Reply Brief Reply Brief. A reply brief must be filed Within not later than fifteen (15) 14 days after service of the brief to which the reply is made.

### **COMMENT**

The language of Rule 6.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Time limits in new subsections (b)(3) and (4) were changed from 20 days to 21 days, consistent with time frames in the Code of Civil Procedure.

The time limit in new subsection (b)(5) was changed from 15 days to 14 days, consistent with time frames in the Code of Civil Procedure.

### **Rule 6.02**

# CONTENT OF APPELLANT'S BRIEF CONTENT OF APPELLANT'S BRIEF

- (a) Required Contents. The An appellant's brief shall must contain the following:
  - (1) A table of contents that includes:
    - (a)(A) A table of contents of the entire brief, with page references to each division and subdivision in the brief, including each issue presented; and
    - (B) the authorities relied <del>upon</del> on in support of each issue.
  - (b)(2) A brief statement of the nature of the case, <u>e.g.</u>, whether <u>it is</u> a personal injury suit, injunction, quiet title, etc., <u>and a brief statement of the nature of the judgment or order from which the appeal was taken.</u>
  - $\frac{(e)(3)}{(e)(3)}$  A brief statement, without elaboration, of the issues to be decided on in the appeal.
  - (d)(4) A factual statement of the case, *i.e.*, a A concise but complete statement, without argument, of all the facts of the case that are material to the determination determining of the question or questions issues presented for appellate decision to be decided in the appeal. The facts stated therein included in the statement shall must be keyed to the record on appeal by volume and page number so as to make verification reasonably convenient. Any material The court may presume that a factual statement made without such a reference to volume and page number may be presumed to be without has no support in the record on appeal.
  - (e)(5) The arguments and authorities relied upon on, subdivided as to the separate separated by issues issue in the appeal if there is more than one. Each issue shall must begin with citation to the appropriate standard of appellate review and a pinpoint reference to the specific location in the record on appeal where the issue was raised and ruled upon on. If not the issue was not raised below, explain there must be an explanation why the issue is properly before the court.
- (f)(b) Optional Appendix. At the option of the appellant, an appellant's brief may contain an appendix without comment consisting of limited extracts from the record on appeal, which extracts which the appellant considers to be of critical importance to the issues in the appeal to be decided. Such an The appendix is merely for the court's convenience and is not to be considered as a substitute for the record itself. When such an appendix is included, the statement of the case and the brief may make references to it, but such the references shall be

<u>are</u> supplementary <u>to the references required to the volume and pages of the record itself and not in lieu thereof of <u>the required references to the volume and page number of the record itself.</u></u>

## **COMMENT**

The language of Rule 6.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# CONTENT OF APPELLEE'S BRIEF CONTENT OF APPELLEE'S BRIEF

- (a) Required Contents. The An appellee's brief shall must contain the following:
  - (1) A table of contents that includes:
    - (a)(A) A table of contents of the entire brief, with page references to each division and subdivision in the brief, including each issue presented; and
    - (B) the authorities relied <del>upon</del> on <del>applicable to</del> in support of each issue.
  - (b)(2) A statement either concurring in the appellant's statement of the issues involved or stating the issues the appellee considers necessary to disposition of the appeal.
  - (e)(3) A factual statement of the case, without argument, of the facts or a statement acknowledging the correctness of the appellant's statement of the case, facts or adding corrections and supplemental statements to the extent necessary to the appeal. The statement shall must be supported by references to the record in the same manner as is required of the appellant under Rule 6.02.
  - (d)(4) The arguments and authorities relied upon on, subdivided as to the separate separated by issues issue in the appeal if there is more than one. Each issue shall must begin with citation to the appropriate standard of appellate review; appellee shall must either concur in appellant's citation to the standard of appellate review or offer cite additional authority.
  - (e) At the option of the appellee and without comment, an appendix containing extracts of critical portions of the record for the same purpose and subject to the same limitations as are prescribed for the appellant's appendix under Rule 6.02.
  - (f)(5) If the appellee is also a cross-appellant (or a cross-appellee), a separate section for the cross-appeal with content comparable to that of an appellant under Rule 6.02, except without duplication of statements, arguments, or authorities already contained in the appellee's brief. To avoid such duplication, references may be made to the appropriate portions of the appellee's brief.
- (b) Optional Appendix. At the option of the appellee, an appellee's brief may contain an appendix containing limited extracts from the record on appeal for the same purpose and subject to the same limitations prescribed for the appellant's appendix under Rule 6.02.

## **COMMENT**

The language of Rule 6.03 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# CONTENT OF CROSS-APPELLEE'S BRIEF CONTENT OF CROSS-APPELLEE'S BRIEF

The content of a cross-appellee's brief shall <u>must</u> be comparable to that of an appellee, but without duplication of statements, arguments, or authorities already contained in the appellant's <u>or cross-appellant's</u> brief. To avoid <u>such</u> duplication, references may be made to the appropriate portions of the <u>appellant's opposing</u> brief.

## **COMMENT**

The language of Rule 6.04 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

## REPLY BRIEF REPLY BRIEF

A reply brief shall <u>may</u> not be submitted unless made necessary by new material contained in the appellee's or cross-appellee's brief. A reply brief shall <u>must make include a</u> specific reference to the new material being rebutted and <u>under no circumstances shall it duplicate or may not</u> include, except by reference, <u>any a statements statement, arguments argument</u>, or <u>authorities authority</u> already <u>made included</u> in <u>a preceding briefs brief.</u> A cross-appellee shall, if <u>If</u> a reply brief is permissible, <u>a</u> cross-appellee must combine the <u>same</u> reply brief with the cross-appellee's brief as a separate section.

## **COMMENT**

The language of Rule 6.05 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# BRIEF OF AMICUS CURIAE BRIEF OF AMICUS CURIAE

A brief of an *amicus curiae* may be filed only after an order of the appellate court granting an application which has been served upon all counsel of record. A brief of an *amicus curiae* shall be filed not less than 30 days prior to oral argument; any party may respond to an *amicus* brief within 20 days. An *amicus curiae* is not entitled to be heard on oral argument of the appeal.

- (a) When Permitted. A brief of an *amicus curiae* may be filed when:
  - (1) an application to file the brief is served on all parties and filed with the clerk of the appellate courts; and
  - (2) the appellate court enters an order granting the application.
- (b) **Filing and Service of** *Amicus* **Brief.** A brief of an *amicus curiae* must be:
  - (1) filed not later than 30 days before oral argument; and
  - (2) served on all parties.
- (c) Reply to Amicus Brief. Any party may respond to a brief of an amicus curiae not later than 21 days after the brief is filed.
- (d) **Oral Argument.** An *amicus curiae* is not entitled to oral argument.

#### **COMMENT**

The language of Rule 6.06 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

## FORMAT FOR BRIEFS FORMAT FOR BRIEFS

(a) TYPE, MARGINS, FOOTNOTES, REPRODUCTION. All briefs shall be typographically set, typewritten, or printed by a computer or word processor letter quality printer so that the text is a clear black image on white bond paper measuring 8 1/2 inches by 11 inches. Text shall be printed in a conventional style typeface no smaller than 12 point with no more than 12 characters per inch. If typewritten, the type shall be no smaller than pica (10 characters per inch). Text, excluding pagination, shall not exceed 6 inches by 9 inches. The left margin shall be no less than 1 1/2 inches and the top, bottom and right margins shall be no less than 1 inch. Footnotes should be avoided, but if absolutely necessary every footnote shall commence on the same page as the text to which it relates. All text shall be double spaced except block quotations which may be single spaced.

Any method of reproduction of the original which results in uniform, permanent, clearcut, black text on opaque, unglazed, white paper of good grade may be used.

(b) COVER. The cover of the brief of the appellant shall be yellow; that of the appellee, appellee/cross-appellant, or appellee/cross-appellee, blue; that of a cross-appellee, yellow; that of an intervenor or *amicus curiae*, green; and that of any reply brief, grey. The outside of the front cover shall conspicuously display each of the following:

First:

The abbreviation for number, "No.," followed by the appellate court docket number. *Second:* 

The words "IN THE COURT OF APPEALS OF THE STATE OF KANSAS," or "IN THE SUPREME COURT OF THE STATE OF KANSAS" dependent on the court in which the matter is then pending.

Third:

Fourth:

The caption of the case as it appeared in the district court except that a party shall not only be identified as a plaintiff or defendant but also as an appellant or appellee.

The title of the document, e.g., "Brief of Appellant" or "Brief of Appellee," etc. Fifth:

The words "Appeal from the District Court of \_\_\_\_\_ County, Honorable \_\_\_\_\_,

Judge, District Court Case No.\_\_\_\_\_"

Sixth:

The name and address of one lawyer for the party on whose behalf the brief is submitted. If there are several parties separately represented and joining in the brief, a lawyer for each shall be shown. A lawyer may be shown as being of a named firm. Additional lawyers joining in the brief are not to be shown on the cover but may be added at the conclusion of the brief. The name of each lawyer shall be followed by that lawyer's Kansas attorney registration number.

#### Seventh:

When additional time for oral argument is requested in the Supreme Court pursuant to Rule 7.01(e) or in the Court of Appeals pursuant to Rule 7.02(e), the words "oral argument:" shall be printed on the lower right portion of the front of the brief cover, followed by the desired amount of time.

(c) BINDING, LENGTH OF BRIEFS. If a brief is in excess of fifteen (15) pages, not less than ten (10) of the required sixteen (16) copies shall be assembled with full length spiral binders on the left side. The remaining copies may be fastened together by conventional methods. Except as the court may specially authorize, the length of briefs (exclusive of cover, table of contents, appendix, and certificate of service) shall not exceed the following:

Brief of an Appellant 50 pages

Brief of an Appellee 50 pages

Brief of an Appellee and Cross Appellant 60 pages

Brief of an Appellee and Cross-Appellee B60 pages

Brief of a Cross-Appellee--25 pages

Reply Brief--15 pages

Brief of an Amicus Curiae--15 pages

Any motion to exceed page limitations must be submitted prior to submission of the brief and shall include a specific total page request. Such motions may be ruled upon without waiting for a response from any other party.

- (d) ABBREVIATED BRIEFS. Upon order of the appellate court hearing the matter, the content and format of briefs submitted may be abbreviated.
- (e) CERTIFICATE OF SERVICE. The certificate of service shall be included as the last page of any brief.
- (f) Any brief which is not in substantial conformity with the provisions of this rule will not be accepted for filing.
  - (g) Permissive filing on interactive compact disk, read only memory (CD-ROM).
  - (1) The submission of briefs by parties and amici curiae on a single interactive compact disk, read-only memory (CD-ROM) (e-brief), in addition to the requisite number of printed briefs filed and served in accordance with this rule, is allowed and encouraged.
  - (2) An e-brief must comply with the current technical specifications available from the Appellate Clerk's Office or posted at www.kscourts.org.
  - (3) An e-brief must be identical in content and format (including page numbering) to the printed version, except that each may also provide electronic links (hyperlinks) to the complete text of any authorities cited therein and to any document or other material constituting the record on appeal.
  - (4) An e-brief must be accompanied by a statement that verifies the absence of computer viruses and describes the software used to ensure that the e-brief is virus-free.
  - (5) No fewer than 5 disks must be filed, with proof of service of at least one disk on each other party to the appeal.
  - (6) An e-brief, if filed, must accompany printed copies of the brief.

## (a) Paper; Text; Margins; Footnotes; Reproduction.

- (1) **Paper.** A brief must be in black type or print on  $8\frac{1}{2}$  by 11" white bond paper.
- (2) Text. Text must be printed in a conventional style font not smaller than 12 point with no more than 12 characters per inch. Text, excluding pagination, must not exceed 6 inches by 9 inches. All text must be double-spaced except block quotations and footnotes which may be single-spaced.
- (3) Margins. The left margin must be not less than 1½ inches and the top, bottom, and right margins must be not less than 1 inch.
- (4) **Footnotes.** Footnotes should be avoided, but, if footnotes are absolutely necessary, every footnote must commence on the same page as the text to which it relates.
- (5) Reproduction. A brief may be reproduced by any process that yields a clear black image on white paper. The paper must be opaque and unglazed. Only one side of the paper may be used.

## (b) **Brief Cover; Color and Content.**

- (1) The color of the brief cover must be as follows:
  - (A) appellant yellow;
  - (B) appellee, appellee/cross-appellant, or appellee/cross-appellee blue;
  - (C) <u>cross-appellee or cross-appellee/reply yellow;</u>
  - (D) intervenor or *amicus curiae* green; and
  - (E) reply grey.
- (2) The cover of a brief must contain the following:
  - (A) the appellate court docket number;
  - (B) the words "IN THE COURT OF APPEALS OF THE STATE OF KANSAS" or "IN THE SUPREME COURT OF THE STATE OF KANSAS," whichever is appropriate;

- (C) the caption of the case as it appeared in the district court, except that a party must be identified not only as a plaintiff or defendant but also as an appellant or appellee;
- (D) the title of the document, e.g., "Brief of Appellant" or "Brief of Appellee," etc.;
- (E) the words "Appeal from the District Court of County,

  Honorable , Judge, District Court Case No.

  ;"
- the name, address, telephone number, fax number, e-mail address, and attorney registration number of one attorney for each party on whose behalf the brief is submitted. An attorney may be shown as being of a named firm. Additional attorneys joining in the brief must not be shown on the cover but may be added at the conclusion of the brief; and
- when additional time for oral argument is requested in the Supreme Court under Rule 7.01(e) or in the Court of Appeals under Rule 7.02(f), the words "oral argument:" must be printed on the lower right portion of the brief cover, followed by the desired amount of time.
- (c) Binding. If a brief exceeds 15 pages, at least 10 of the required 16 copies must be assembled with full length spiral binders on the left side. The remaining copies may be fastened together by staples or brads.
- (d) <u>Page Limitation.</u> Unless the court orders otherwise, the length of briefs excluding the cover, table of contents, appendix, and certificate of service may not exceed the <u>following:</u>
  - (1) Brief of an Appellant 50 pages;
  - (2) Brief of an Appellee 50 pages;
  - (3) Brief of an Appellee and Cross-Appellant 60 pages;
  - (4) Brief of an Appellee and Cross-Appellee 60 pages;
  - (5) Brief of a Cross-Appellee 25 pages;
  - (6) Reply Brief 15 pages; and

- (7) Brief of an *Amicus Curiae* 15 pages.
- (e) Motion to Exceed Page Limitation. A motion to exceed a page limitation in subsection (d) must be submitted prior to submission of the brief and must include a specific total page request. The court may rule on the motion without waiting for a response from any other party.
- (f) Abbreviated Briefs. The appellate court hearing a matter may order briefs to be abbreviated in content or format.
- (g) Acceptance for Filing. A brief that does not conform substantially with the provisions of this rule will not be accepted for filing.

## (h) Permissive Filing of E-brief on CD-ROM.

- (1) Parties and *amici curiae* may and are encouraged to submit briefs on a CD-ROM disk (an e-brief) in addition to submitting the requisite number of printed briefs required by Rule 6.09.
- (2) an e-brief must comply with the current technical specifications available from the clerk of the appellate courts or posted at www.kscourts.org.
- (3) An e-brief must be identical in content and format—including page numbering—to the printed version, except that an e-brief also may provide electronic links (hyperlinks) to the complete text of any authority cited in the brief and to any document or other material included in the record on appeal.
- (4) An e-brief must be accompanied by a statement that verifies the absence of computer viruses and describes the software used to ensure that the e-brief is virus-free.
- (5) If an e-brief is filed under this subsection, not fewer than 5 CD-ROM disks of the brief must be filed, with proof of service of at least one disk on each party to the appeal.
- (6) An e-brief, if filed, must accompany printed copies of the brief.

## **COMMENT**

The language of Rule 6.07 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (a)(5) specifically provides that only one side of the paper may be used.

# REFERENCES WITHIN BRIEFS REFERENCE WITHIN BRIEF

In the body of a brief, unless <u>Unless</u> the context particularly requires a distinction between parties as appellant or appellee, they <u>parties</u> should normally <u>should</u> be referred to <u>in the body of a brief</u> by their status in the district court, *e.g.*, plaintiff, defendant, etc., or by name. References to <u>Citation of a court eases decision shall must</u> be by the official <u>eitations citation</u> followed by any generally recognized reporter system citations.

## **COMMENT**

The language of Rule 6.08 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# SERVICE OF BRIEFS AND ADDITIONAL AUTHORITY SERVICE OF BRIEF AND ADDITIONAL AUTHORITY

(a) Every brief shall be supplied in two (2) copies to all adverse counsel united in interest. Sixteen (16) copies of the brief, which includes proof of service on adverse counsel, shall be simultaneously filed with the clerk of the appellate courts.

(b) When significant relevant authorities not previously cited to the court come to the attention of a party after the party's brief has been filed, or after oral argument but before decision, the party shall promptly advise the court, by letter, setting forth the citations. There must be a reference either to the page(s) of the brief intended to be supplemented or to a point argued orally to which the citations pertain. A brief statement may be made concerning application of the citations.

The letter shall be served on all adverse counsel united in interest as set out in subsection (a). The letter, with proof of service, shall be filed with the clerk of the appellate courts and shall be accompanied by sixteen (16) copies. Any response must be made promptly and must be similarly limited.

## (a) Service and Filing.

- (1) Service; Number of Copies. 2 copies of every brief must be served on all adverse parties united in interest.
- (2) Certificate of Service. A certificate of service must be included as the last page of a brief.
- (3) Filing; Number of Copies. 16 copies of the brief must be filed simultaneously with service with the clerk of the appellate courts.

## (b) Additional Authority.

## (1) **Notifying the Court by Letter.**

(A) **Before Oral Argument.** Not later than 14 days before oral argument, a party may advise the court, by letter, of citation to persuasive and controlling authority that has come to the party's attention after the party's last brief was filed. If a persuasive or controlling authority is published less than 14 days before oral argument, a party promptly may advise the court, by letter, of the citation.

- (B) After Oral Argument. After oral argument, but before decision, a party may advise the court, by letter, of citation to controlling authority that was published after the date of oral argument.
- (C) Contents of Letter to Court. The letter must contain a reference either to the page(s) of the brief intended to be supplemented or to a point argued orally to which the citation pertains. A brief statement may be made concerning application of the citation, but the body of a letter submitted under this subsection may not exceed 350 words.
- (2) Service and Filing. A copy of the letter must be served on all adverse parties united in interest. The letter, with proof of service, must be filed with the clerk of the appellate courts and be accompanied by 16 copies.
- (3) **Response.** A response, if any, must be:
  - (A) filed with the clerk of the appellate courts not later than 7 days after service of the letter;
  - (B) limited to the reference, brief statement, and number of words allowed under paragraph (1)(C); and
  - (C) served on all adverse parties united in interest.

## **COMMENT**

The language of Rule 6.09 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsections (b)(1) and (3) impose conditions and limitations on the submission of additional authority and response to that authority.

# BRIEFS IN CRIMINAL AND POST CONVICTION CASES BRIEF IN CRIMINAL OR POSTCONVICTION CASE

In all a criminal matters matter and or a post conviction postconviction proceedings case;

- (a) <u>a copies copy</u> of all <u>each</u> briefs <u>brief shall must</u> be served on the Attorney General of this state Kansas-; and
- (b) No no brief shall may be filed by or on behalf of the State of Kansas or any officer or agent thereof of the State without unless the approval of the Attorney General or a member of the Attorney General's staff is endorsed thereon on the brief.

## **COMMENT**

The language of Rule 6.10 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

## ORAL ARGUMENTS ARGUMENT, DECISIONS DECISION, AND REHEARING

#### **Rule 7.01**

# HEARINGS IN THE SUPREME COURT HEARING IN THE SUPREME COURT

- (a) SESSIONS. Sessions of the Supreme Court for the hearing of cases will be from time to time on such dates as shall be fixed by the order of the court. Sessions. The Supreme Court hears cases on dates fixed by court order.
- (b) ASSIGNMENT OF CASES. Assignment of Cases. Cases will be are assigned for hearing as nearly as practicable in the order in which they were docketed except cases entitled by law to preferential setting. Other cases may be advanced by the The court on motion may advance other cases as justice or the public interest may require.
- (c) SUMMARY CALENDAR GENERAL CALENDAR. Summary Calendar General Calendar.
  - (1) <u>Screening Procedures.</u> <u>Screening Procedures.</u> All <u>A</u> cases <u>case</u> <u>docketed in the court may be is</u> subjected to screening procedures after <u>the an</u> appeal is docketed <u>in the court</u>. When screening procedures have been completed, <u>on a case</u> the <u>Cchief Jjustice shall</u> will assign it the case to the summary calendar or the general calendar.
  - (2) Basis for Determining Summary Calendar Cases. Basis for Determining Summary Calendar Cases. Those A cases case which that fails to present any a new questions question of law and in which oral argument is deemed neither helpful to the court nor essential to a fair hearing of the case on appeal may be placed on the summary calendar for later assignment. All other cases shall must be placed on the general calendar for later assignment. Separate calendars shall be maintained by the clerk for this purpose. The clerk of the appellate courts must maintain separate calendars for this purpose.
  - (3) Notice of Calendaring. Notice of Calendaring. The clerk of the appellate courts shall must notify the parties when a case has been placed on the summary calendar.
  - (4) Argument in Summary Calendar Cases. Argument in Summary Calendar Cases. When a case is placed on the summary calendar, it shall be is deemed submitted to the court without oral argument unless a party's motion by one of the parties for oral argument is granted. Such a The motion shall must be served on all parties, and filed with the clerk of the appellate courts within not later than fifteen (15) 14 days after notice of calendaring has been mailed by the clerk mails notice of calendaring, and

shall set forth state the reasons reason why it is thought that oral argument would be helpful to the court. If a motion for oral argument is granted, oral argument will be limited to fifteen (15) minutes on each side unless sufficient reason is given to grant twenty (20), twenty five (25), or thirty (30) minutes.

(d) DOCKETS. Dockets; Notice of Hearing or Submission. Not less than thirty (30) days before each sitting of the court, the clerk of the appellate courts shall must prepare and mail to all attorneys of record parties in eauses cases assigned for hearing during such that sitting a docket showing the date place and time on at which the cases from the general and summary calendars calendar will be argued and heard. The docket will contain a list of cases from the summary calendar submitted for decision without oral argument. The daily docket will be called in open court at the commencement of each day's session. Failure of a party to be represented at the call of the day's docket shall constitutes a waiver of oral argument by such the party.

(e) ARGUMENTS. Unless more time is ordered, oral argument will be limited to fifteen (15) minutes each for the appellant and the appellee. Either the appellant or the appellee may request twenty (20), twenty five (25), or thirty (30) minutes at the time the appellant's or appellee's brief is filed by printing "oral argument:" on the lower right portion of the front of the brief cover, followed by the desired amount of time. If oral argument is scheduled, the court will designate on the oral argument calendar the amount of time granted. The appellant and the appellee will be granted the same amount of time. The appellant may reserve a portion of that time for rebuttal by making an oral request at the time of hearing.

The court on its own motion during the hearing may extend the time for oral argument for either party. If on either side of a case there are several parties who are not united in interest in the issues of the appeal and who are separately represented, the court on motion will allot time for the separate arguments. If multiple parties are united in interest in the issues on appeal, they shall divide the allotted time among themselves by mutual agreement. Any party who does not have a brief on file will not be permitted an oral argument.

## (e) **Argument.**

- Generally. If oral argument is scheduled, the court will designate on the oral argument calendar the amount of time granted. Unless more time is ordered, oral argument is limited to 15 minutes each for the appellant and the appellee. The appellant and the appellee will be granted the same amount of time. A party that does not have a brief on file will not be permitted oral argument.
- (2) Requesting More Time. The appellant or the appellee may request 20, 25, or 30 minutes for argument by printing "oral argument:" on the lower right portion of the front cover of the party's initial brief, followed by the desired amount of time.

- (3) Reserving Rebuttal Time. The appellant may reserve for rebuttal a portion of the time granted by making an oral request at the time of the hearing.
- (4) Court May Extend Time. The court on its own during the hearing may extend the time for oral argument.
- (5) Multiple Parties. If on either side of a case there are multiple parties that are not united in interest in the issues of the appeal and are separately represented, the court on motion will allot time for the separate arguments. If multiple parties are united in interest in the issues on appeal, they must divide the allotted time among themselves by mutual agreement.

## **COMMENT**

The language of Rule 7.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The time limit in subsection (c)(4) was changed from 15 days to 14 days, consistent with time frames in the Code of Civil Procedure.

## HEARINGS IN THE COURT OF APPEALS HEARING IN THE COURT OF APPEALS

(a) HEARING PANELS. Hearings in the Court of Appeals shall be before the judges of the court, sitting *en banc*, or in hearing panels designated by the Chief Judge of the court. Hearings shall be before panels of the court unless a majority of the judges of the Court of Appeals shall order that an appeal or other proceeding be heard or reheard by the Court of Appeals *en banc*.

Except in exigent circumstances, oral argument shall be heard by the full panel to which the case has been assigned. The Chief Judge may change the composition of any panel at any time prior to oral argument. Whenever any member of the panel is not present at the oral argument of a case, the case shall be deemed submitted to that member on the record and briefs. If a member of the panel is unable for any reason to participate after the case is submitted for decision, the Chief Judge shall appoint a substitute judge and the case shall be deemed submitted to the new member on the record and briefs.

## (a) **Hearing Panels.**

- (1) Generally. The chief judge of the Court of Appeals must designate panels of judges of the court to conduct hearings. An appeal or other proceeding will be before a panel of the Court of Appeals unless a majority of the judges order the appeal or other proceeding be heard or reheard *en banc*.
- (2) Assigned Judge's Participation At and After Oral Argument. Except in exigent circumstances, oral argument will be heard by the full panel to which the case has been assigned. The chief judge may change the composition of a panel at any time before oral argument. When a member of a panel is not present at the oral argument, the case is deemed submitted to that member on the record and briefs. If a member of a panel is unable to participate after the case is submitted for decision, the chief judge must appoint a substitute judge and the case is deemed submitted to the new member on the record and briefs.
- (b) SUGGESTION FOR HEARING OR REHEARING EN BANC. Suggestion for Hearing or Rehearing En Banc. A party may suggest the appropriateness of a hearing or rehearing en banc. A suggestion for hearing en banc shall must be filed within not later than the time prescribed for filing appellee's brief. A suggestion for rehearing en banc shall must be filed within not later than the time prescribed for filing a motion for rehearing.
- (c) SESSIONS. (1) Hearings before the court sitting *en banc* shall be in Topeka, Kansas, unless otherwise ordered by the Chief Judge.
- (2) Hearings before panels of the court may be held in any county within the state as provided in Rule 1.02.

(3) To assist the court in determining the place of hearing, any party may suggest in writing a desired place of hearing. Such suggestion should be filed not later than the time for filing appellee's brief.

## (c) <u>Summary Calendar — General Calendar.</u>

- (1) Screening Procedures. A case is subjected to screening procedures after an appeal is docketed in the court. When screening procedures have been completed, the chief judge will assign the case to the summary calendar or the general calendar.
- (2) Basis for Determining Summary Calendar Cases. A case that fails to present a new question of law and in which oral argument is deemed neither helpful to the court nor essential to a fair hearing of the appeal may be placed on the summary calendar. All other cases must be placed on the general calendar. The clerk of the appellate courts must maintain separate calendars for this purpose.
- (3) Notice of Calendaring. The clerk of the appellate courts must notify the parties when a case has been placed on the summary calendar.
- (4) Argument in Summary Calendar Cases. When a case is placed on the summary calendar, it is deemed submitted to the court without oral argument unless a party's motion for oral argument is granted. The motion must be served on all parties, be filed with the clerk of the appellate courts not later than 14 days after the clerk mails notice of calendaring, and state the reason why oral argument would be helpful to the court. If a motion for oral argument is granted, oral argument will be limited to 15 minutes on each side unless sufficient reason is given to grant 20, 25, or 30 minutes.

## (d) Sessions; Location of Hearings.

- (1) A hearing before the court sitting *en banc* will be in Topeka, Kansas, unless otherwise ordered by the chief judge.
- (2) A hearing before a panel of the court may be held in any county in the state as provided in K.S.A. 20-3013.
- (3) To assist the court in determining the place of hearing, a party may suggest in writing a desired place of hearing. The suggestion must be filed not later than the time for filing appellee's brief.
- (d)(e) NOTICE OF HEARING. <u>Dockets</u>; <u>Notice of Hearing or Submission</u>. Not less than thirty (30) days before each sitting of the court, the clerk <u>of the appellate courts</u> shall <u>must notify</u> prepare and mail to the all attorneys in each of record in cases assigned for hearing during

that sitting a docket of the showing the place and time at which the cases from the general and summary calendar will be argued and heard. The docket will contain a list of cases from the summary calendar submitted for decision without oral argument.

(e) ARGUMENTS. Unless more time is ordered, oral argument will be limited to fifteen (15) minutes each for the appellant and the appellee. Either the appellant or the appellee may request twenty (20), twenty five (25), or thirty (30) minutes at the time the appellant's or appellee's brief is filed by printing "oral argument:" on the lower right portion of the front of the brief cover, followed by the desired amount of time. If oral argument is scheduled, the court will designate on the oral argument calendar the amount of time granted. The appellant and the appellee will be granted the same amount of time. The appellant may reserve a portion of that time for rebuttal by making an oral request at the time of hearing.

The court on its own motion during the hearing may extend the time for oral argument for either party. If on either side of a case there are multiple parties who are not united in interest in the issues of the appeal and who are separately represented, the court on motion will allot time for separate arguments. If multiple parties are united in interest in the issues on appeal, they shall divide the allotted time among themselves by mutual agreement. Any party who does not have a brief on file will not be permitted an oral argument.

## (f) **Argument.**

- (1) Generally. If oral argument is scheduled, the court will designate on the oral argument calendar the amount of time granted. Unless more time is ordered, oral argument is limited to 15 minutes each for the appellant and the appellee. The appellant and the appellee will be granted the same amount of time. A party that does not have a brief on file will not be permitted oral argument.
- (2) Requesting More Time. The appellant or the appellee may request 20, 25, or 30 minutes for argument by printing "oral argument:" on the lower right portion of the front cover of the party's initial brief, followed by the desired amount of time.
- (3) Reserving Rebuttal Time. The appellant may reserve for rebuttal a portion of the time granted by making an oral request at the time of hearing.
- (4) <u>Court May Extend Time.</u> The court on its own during the hearing may extend the time for oral argument for either party.
- (5) Multiple Parties. If on either side of a case there are multiple parties that are not united in interest in the issues of the appeal and are separately represented, the court on motion will allot time for the separate arguments. If multiple parties are united in interest in the issues on appeal, they must divide the allotted time among themselves by mutual agreement.

- (f) SUMMARY CALENDAR-GENERAL CALENDAR. The clerk shall maintain a summary calendar and a general calendar.
- (1) Screening Procedures. All cases docketed in the court shall be subjected to screening procedures after the appeal is docketed. When screening procedures have been completed on a case, the Chief Judge shall assign it to the summary calendar or the general calendar.
- (2) Basis for Determining Summary Calendar Cases. Those cases which fail to present any new questions of law and in which oral argument is deemed neither helpful to the court nor essential to a fair hearing of the case on appeal may be placed on the summary calendar. All other cases shall be placed on the general calendar.
- (3) *Notice of Calendaring*. The clerk shall notify the parties when a case has been placed on the summary calendar.
- (4) Argument in Summary Calendar Cases. When a case is placed on the summary calendar it shall be deemed submitted to the court without oral argument unless a motion by one of the parties for oral argument is granted. Such a motion shall be served on all parties and filed with the clerk within fifteen (15) days after notice of calendaring has been mailed by the clerk and shall set forth the reasons why it is thought that oral argument would be helpful to the court. If a motion for oral argument is granted, oral argument will be limited to fifteen (15) minutes on each side unless sufficient reason is given to grant twenty (20), twenty-five (25), or thirty (30) minutes.

#### **COMMENT**

The language of Rule 7.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

## DECISIONS OF THE APPELLATE COURTS DECISION OF APPELLATE COURT

- (a) <u>Decision.</u> A <u>Decisions decision</u> of the <u>an</u> appellate <u>courts</u> will be announced by the filing of the <u>opinions opinion</u> with the clerk of the appellate courts at any time decisions are ready. On the date of filing, the clerk of the appellate courts will send one copy of the decision to the <u>attorney counsel</u> of record for each party <u>or to the party if the party has appeared in the appellate court but has no counsel of record and, in <u>an</u> appealed <u>cases case</u>, one copy to the judge of the district court from which the appeal was taken. A certified copy of the opinion will be mailed to the clerk of the district court when the mandate issues.</u>
- (b) Mandate. A mandate must be mailed to the clerk of the district court, accompanied by a certified copy of the opinion.
  - (1) **Issuance and Effective Date.** 
    - (A) When Issued. An appellate court's mandate will issue 7 days after:
      - (i) the time to file a petition for review or motion for rehearing or modification expires;
      - (ii) entry of an order denying a timely petition for review or motion for rehearing or modification; or
      - (iii) any other event that finally disposes of the case on appeal.
    - (B) Court May Modify Time. The court may shorten or extend the time for issuing a mandate.
    - (C) **Effective Date.** A mandate is effective when issued.
  - (2) Staying the Mandate. The timely filing of a petition for review or a motion for rehearing or modification stays the mandate until disposition of the petition or motion, unless the court orders otherwise.

### COMMENT

The language of Rule 7.03 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (b) states practices followed in the office of the clerk of the appellate courts which were not part of the former rule.

#### **RULE 7.04**

# OPINIONS OF THE APPELLATE COURTS OPINION OF APPELLATE COURT

- (a) Memorandum or Formal Opinion Governed by K.S.A. 60-2106. An Opinions opinion of the an appellate courts court, whether signed or per curiam, shall will be a memorandum opinions opinion or formal opinions opinion according to the requirements of as provided in K.S.A. 60-2106. Disposition by memorandum, without a published formal opinion, does not mean the case is considered unimportant. It means the case does not involve a new point of law or is otherwise considered as having no value as precedent.
- (b) Opinions shall be published in the official reports only when they satisfy the standards set out in this rule. Disposition by memorandum, without a formal published opinion, does not mean that the case is considered unimportant. It does mean that no new points of law making the decision of value as precedent are believed to be involved.
- (b) <u>Determining Whether Opinion Will Be Memorandum or Formal.</u> An opinion shall will be prepared in memorandum form unless it: meets the requirements in paragraphs (1) and (2).
  - (1) Substantive Requirement. To be published as a formal opinion, the opinion must:
    - (1)(A) Establishes establish a new rule of law or alters alter or modifies modify an existing rule;
    - (2)(B) Involves involve a legal issue of continuing public interest;
    - (3)(C) Criticizes criticize or explains explain existing law;
    - (4)(D) Applies apply an established rule of law to a factual situation significantly different from that in published opinions of the courts of this state;
    - (5)(E) Resolves resolve an apparent conflict of authority; or
    - (6)(F) Constitutes constitute a significant and non-duplicative nonduplicative contribution to legal literature:
      - (i) by a historical review of law; or
      - (ii) by describing legislative history.

(2) Procedural Requirement. A formal opinion will be written and published in the official reports only if the majority of the justices or judges participating in the decision finds that one of the standards set out in paragraph (1) is satisfied. The court or panel that decides the case must make a tentative decision whether or not a formal opinion is required before or at the time the case is conferenced.

A memorandum opinion shall not be published unless there is a separate concurring or dissenting opinion in the case, and the author of such separate opinion requests that it be published; or unless it is ordered to be published by the Supreme Court.

- (c) <u>Concurring or Dissenting Opinion.</u> A concurring or dissenting opinion will be published only if the majority opinion is published.
- (d) **Memorandum Opinion Publication.** A memorandum opinion will be published only if:
  - (1) there is a separate concurring or dissenting opinion in the case, and the author of the separate opinion requests that it be published; or
  - (2) the Supreme Court orders publication.
- (e)(e) <u>Motion Requesting Publication.</u> A party or other interested person who that believes that an opinion of either the Supreme Court or Court of Appeals that is not designated by the court for publication meets the standards requirement for publication established by this rule in subsection (b)(1) or otherwise has substantial precedential value may file a motion in the Supreme Court asking that it be published. The motion shall must:
  - (1) state the grounds for such the belief, that the opinion should be published;
  - (2) shall be accompanied by a copy of the opinion; and
  - (3) shall comply with Supreme Court Rule 5.01, including service on all parties to the appeal.
- (d)(f) Opinion Modified on Rehearing. Regardless of the foregoing, no An opinion that is superseded by an opinion on rehearing shall will not be published. An opinion that is modified on rehearing shall will be published as modified if it otherwise meets the standards of this rule.
- (e) A formal opinion shall be written and published only if the majority of the justices or judges participating in the decision find that one of the standards set out in this rule is satisfied. The court or panel which decides the case shall make a tentative decision whether or not a formal opinion is required before or at the time the writing assignment is made. Concurring and dissenting opinions shall be published only if the majority opinion is published.

## (g) Unpublished Memorandum Opinion.

- (f)(1) All A memorandum opinions opinion, unless otherwise required by subsection (d) to be published, shall must be marked: "Not Designated for Publication."
- (2) An Uunpublished memorandum opinions opinion of any court or agency:
  - (i)(A) are is not binding precedents precedent, except under the doctrines of law of the case, res judicata, and collateral estoppel-;
  - (ii)(B) are is not favored for citation. But unpublished memorandum opinions and may be cited if they only if the opinion:
    - (i) have has persuasive value with respect to a material issue not addressed in a published opinion of a Kansas appellate court; and
    - (ii) they would assist the court in its disposition. of the issue; and
  - (iii)(C) must be attached to any document, pleading, or brief that cites them the opinion.

### **COMMENT**

The language of Rule 7.04 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

New subsection (g)(2) removes reference to unpublished memorandum opinions "of any court or agency" because these rules govern Kansas appellate opinions.

## SUMMARY DISPOSITION SUMMARY DISPOSITION

- (a) On the Court's Initiative. In any a case in which it appears that a prior controlling appellate decision is dispositive of the appeal, the court may summarily affirm or reverse, citing in its order of summary disposition this rule and the controlling decision. Such an The order may be entered on the court's own motion initiative after fourteen (14) days' notice to the parties, citing the decision deemed controlling and providing an opportunity to show cause why such an the order should not be filed.
- (b) On a Party's Motion. At any time during During the pendency of the an appeal, any a party may move for summary disposition, citing the prior a controlling appellate decision that is dispositive of the appeal. The motion shall must be served on opposing counsel all parties, who may respond within not later than fourteen (14) days after the motion is served. Thereafter, On expiration of the time to respond, the court may enter an order summarily affirming or reversing, or denying the motion.

## **COMMENT**

The language of Rule 7.041 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

## RULE 7.041a 7.041A

# SUMMARY DISPOSITION OF SENTENCING APPEALS SUMMARY DISPOSITION OF SENTENCING APPEAL

- (a) <u>Motion for Summary Disposition.</u> Pursuant to K.S.A. 21-4721(g) 21-6820(g) and (h), any a party may move for summary disposition of a sentencing appeal when no substantial question is presented by the appeal. Any A facts fact stated therein in the motion shall must be keyed to the record on appeal so as to make verification reasonably convenient. The motion shall must be served on opposing counsel, who may respond within not later than fourteen (14) days after the motion is served.
- (b) Review Solely on Record Unless Briefing is Ordered. If the appellate court grants the a motion for summary disposition, review shall will be made solely upon on the record that was before the sentencing court. Written briefs shall will not be required permitted unless ordered by the appellate court.
- (c) <u>No Oral Argument.</u> Any A sentencing appeal scheduled for summary disposition under this rule shall will be handled in an expedited manner without oral argument.
- (d) <u>Disposition.</u> The court may summarily affirm or reverse, citing this rule, or may affirm or reverse by issuing a written opinion.

## **COMMENT**

The language of Rule 7.041A has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

## AFFIRMANCE BY SUMMARY OPINION AFFIRMANCE BY SUMMARY OPINION

- (a) Generally. The court may affirm by summary opinion a case in which the requirements of subsection (b) are satisfied.
- (b) Requirements for Affirmance by Summary Opinion. In any A case in which may be affirmed by summary opinion if the court determines after argument or submission on the briefs that no reversible error of law appears and: either
  - $\frac{(a)(1)}{(a)}$  the appeal is frivolous;
  - (b)(2) the appeal is without merit;
  - (e)(3) the findings of fact of the trial district court, the findings of fact of the administrative tribunal, or the verdict of the jury is supported by substantial competent evidence;
  - (d)(4) the findings of fact of the trial district court, the findings of fact of the administrative tribunal, or the verdict of the jury is supported by clear and convincing evidence;
  - (e)(5) the opinion or findings of fact and conclusions of law of the trial district court or administrative tribunal adequately explain the decision; or
  - (f)(6) the trial district court or administrative tribunal did not abuse its discretion, the court may affirm by an opinion citing this rule and indicating which one or more of the above criteria it has determined to be applicable. The opinion will be in the following form: "Affirmed under Rule 7.042 [(a) (b) (c) (d) (e) and/or (f)]."
- (c) Form of Opinion. An opinion issued under this rule must cite the rule and indicate one or more factors under subsection (b) the court has determined are applicable. The opinion must be in the following form: "Affirmed under Rule 7.042(b) [(1) (2) (3) (4) (5) and/or (6)]."

#### COMMENT

The language of Rule 7.042 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# REFERENCE TO CERTAIN PARTIES REFERENCE TO CERTAIN PERSONS

- (a) Generally. This rule establishes guidelines for identifying certain persons To to avoid unnecessary trauma and unwarranted stigma from publicity inherent in an appellate proceeding and to maintain statutory requirements of confidentiality.
- (a) In any case in the appellate courts under the code for care of children, the juvenile offenders code, or involving the adoption of a child, the caption of the case shall refer to the child by initials only;
- (b) In the above-referenced cases, all motions, briefs, opinions, and orders of the appellate court shall refer to a child in need of care, a juvenile offender, or a party to an adoption proceeding by initials only or by given name and last initial. To the extent that the identity of a party subject to the protection of this rule could be revealed by reference to another party, e.g. the mother or father of a child, that party should also be identified by initials or by familial relationship.
- (b) Child. In a case in an appellate court under the code for care of children or the juvenile justice code or involving the adoption of a child:
  - (1) the caption of the case must refer to the child by initials only;
  - (2) a motion, brief, or opinion or order of the appellate court must refer to a child in need of care, a juvenile offender, or a party to an adoption proceeding by initials only or by given name and last initial; and
  - if the identity of a child protected by this rule could be revealed by reference to another person, e.g. the mother or father of the child, that person also should be identified by initials or by familial relationship.
- (c) <u>Victim of Sex Crime.</u> In any a case in the an appellate courts court, all a motions motion, briefs brief, or opinions, opinion and or orders order of the appellate court shall must refer to a victim of a sex crime by initials only or by given name and last initial.
- (d) <u>Juror or Venire Member.</u> All A motions motion, briefs, brief, or opinions, opinion and or orders order of the appellate court shall must refer to a juror or member of the venire by initials only, by juror number, or by given name and last initial.

## **COMMENT**

The language of Rule 7.043 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# REHEARING OR MODIFICATION IN COURT OF APPEALS REHEARING OR MODIFICATION IN COURT OF APPEALS

- (a) Motion for Rehearing or Modification. A motion for rehearing or modification in a case decided by the Court of Appeals may be served and filed within not later than fourteen (14) days of after the decision is filed. A copy of the Ccourt's opinion shall must be attached to the motion.
- (b) Effect of Motion. A motion for rehearing or modification stays The the issuance of the mandate shall be stayed pending the determination of the issues raised by such a the motion. If a rehearing is granted, such order suspends the effect of the original decision until the matter is decided on rehearing. A motion for rehearing or modification is not a prerequisite for review, nor shall such a motion and does not extend the time for the filing of a petition for review by the Supreme Court.
- (b)(c) If Motion for Rehearing is Granted. If no motion for rehearing is filed, or a motion for rehearing is denied, and no motion for review is pending under Rule 8.03 and the time for filing the same has expired, the clerk of the appellate courts shall, unless the court otherwise orders, issue a mandate on the decision of the Court of Appeals to the district court together with a copy of the opinion. If a motion for rehearing is granted, the order suspends the effect of the original decision until the matter is decided on rehearing.

#### **COMMENT**

The language of Rule 7.05 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

# REHEARING OR MODIFICATION IN SUPREME COURT REHEARING OR MODIFICATION IN SUPREME COURT

- (a) Motion for Rehearing or Modification. A motion for rehearing or modification in a case decided by the Supreme Court may be served and filed within not later than twenty (20) 21 days of after the date of the decision is filed. A copy of the Ccourt's opinion shall must be attached to the motion.
- (b) Effect of Motion. A motion for rehearing or modification stays Tthe issuance of the mandate shall be stayed pending the determination of the issues raised by such a the motion.
- (c) <u>If Motion for Rehearing is Granted.</u> If a <u>motion for</u> rehearing is granted, <u>such the</u> order suspends the effect of the original decision until the matter is decided on rehearing.

(b) If no motion for rehearing is filed or upon denial of a motion for rehearing, the clerk of the appellate courts shall, unless the court otherwise orders, issue a mandate on the decision of the Supreme Court to the district court together with a copy of the opinion.

### **COMMENT**

The language of Rule 7.06 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Former subsection (b) has been deleted because issuance of the mandate is now covered in Rule 7.03.

# APPELLATE COSTS AND FEES AND ATTORNEY FEES APPELLATE COSTS AND FEES AND ATTORNEY FEES

## (a) GENERAL. Generally.

- (1) <u>Fees and Expenses Separately Assessed When Applicable.</u> In any an appellate case there shall will be separately assessed, when applicable, all fees for service of process, witness fees, reporter's fees, allowance for fees and expenses of a master or commissioner appointed by the appellate court, and any other proper fees and expenses.
- (2) <u>Court Approval or Statutory Authority Required.</u> All such fees <u>An appellate court must approve fees</u> and expenses <u>assessed under this rule</u> shall be approved by an appellate court unless specifically fixed by statute.
- (3) Appellate Court May Require Advance Deposit. When any such fees and expenses are to be anticipated in a case, the An appellate court may require the a parties to the proceeding party to make a deposits deposit in advance to secure the same payment of anticipated fees and expenses under this rule.
- (4) <u>Fees and Expenses May Be Apportioned.</u> In disposing of any case before it, an An appellate court may apportion and assess any a part of the original docket fee, the expenses for transcripts, and any additional fees and expenses allowed in the case, against any one or more of the parties in such manner as justice may require.
- (5) Recovery of Docket and Transcript Fees on Reversal of District Court. When a decision of the district court is reversed, the mandate will direct that appellant recover the original docket fee and expenses for transcripts, if any.

## (b) ATTORNEY FEES. Attorney Fees.

(1) <u>Generally.</u> An <u>Aappellate courts court</u> may award attorney fees for services on appeal in <u>any a case</u> in which the <u>trial district</u> court had authority to award attorney fees.

Any subsection (b) motion for attorney fees on appeal shall be made pursuant to Rule 5.01. An affidavit shall be attached to the motion specifying: (1) the nature and extent of the services rendered; (2) the time expended on the appeal; and (3) the factors considered in determining the reasonableness of the fee. (See KRPC 1.5 Fees.)

- <u>Motion for Attorney Fees.</u> The A motion for attorney fees on appeal must be made under Rule 5.01 and shall be filed with the clerk of the appellate courts no not later than fifteen (15) 14 days after oral argument. If oral argument is waived, the motion shall must be filed no not later than fifteen (15) 14 days after either the day of argument is waiver waived or the date of the letter assigning the case to a non-argument calendar, whichever is later. An affidavit must be attached to the motion specifying:
  - (A) the nature and extent of the services rendered;
  - (B) the time expended on the appeal; and
  - (C) the factors considered in determining the reasonableness of the fee. (See KRPC 1.5 Fees.)
- (c) FRIVOLOUS APPEALS. Frivolous Appeal. If the an appellate court finds that an appeal has been taken frivolously, or only for the purposes purpose of harassment or delay, it may assess against an the appellant or appellant's counsel, or both, the cost of reproduction of the appellee's brief and a reasonable attorney fee for the appellee's counsel. A motion for attorney fees under this subsection shall be filed in the manner set forth in must comply with subsection (b)(2). If the motion is granted, Tthe mandate shall then must include a statement of any such the assessment, and execution may issue thereon on the assessment as for any other judgment, or in an original case the clerk of the appellate courts may eause issue an execution to issue.
- (d) UNNECESSARY TRANSCRIPTS. Unnecessary Transcript. On An appellate court on its own motion, or on the motion of an aggrieved party filed not later than fourteen (14) days after an assessment of costs hereunder, the appellate court under this rule may assess against a party or his the party's counsel, or both, all or any part of the cost of the trial transcript which that the court finds to have been was prepared as the result of any an unreasonable refusal to stipulate pursuant to a written request and in accordance with under Rule 3.03 to the preparation of less than a complete transcript of the proceedings in the district court.

### **COMMENT**

The language of Rule 7.07 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The time limit in subsection (b)(2) was changed from 15 days to 14 days, consistent with time frames in the Code of Civil Procedure.

## TRANSFER TO AND REVIEW BY SUPREME COURT

#### **Rule 8.01**

## TRANSFER TO SUPREME COURT ON CERTIFICATE TRANSFER TO SUPREME COURT ON CERTIFICATE

- (a) <u>Court of Appeals May Request Transfer.</u> Whenever the <u>The Court of Appeals shall may</u> request that an undetermined case pending before it be transferred to the Supreme Court for final determination.
- (b) Form and Content of Request. such A request for transfer under this rule shall must be by certificate of the Cchief Jjudge of the Court of Appeals, filed with the clerk of the appellate courts, and accompanied by eight (8) copies. The certificate shall must:
  - (1) set forth state the nature of the case;
  - (2) shall demonstrate that such the case is within the jurisdiction of the Supreme Court; and
  - (3) shall show the existence of one or more of the grounds for transfer specified in K.S.A. 20-3016(a). As may be appropriate, such certificate shall specify by specifying:
    - (a)(A) Which the issue or issues are not within the jurisdiction of the Court of Appeals, with citation to controlling constitutional, statutory, or case authority;
    - (b)(B) The the subject matter of the case which that has significant public interest;
    - (e)(C) The the particular legal questions question raised which that have has major public significance-; or
    - (D) If the request is made under K.S.A. 20-3016(a)(4), the certificate shall contain sufficient data concerning the state of the docket of the Court of Appeals and of the Supreme Court to demonstrate that the expeditious administration of justice requires such the transfer.

The language of Rule 8.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

#### **Rule 8.02**

# TRANSFER TO SUPREME COURT ON MOTION TRANSFER TO SUPREME COURT ON MOTION

- (a) Party May Request Transfer. Whenever a A party shall may request, pursuant to under K.S.A. 20-3017, that an undetermined case pending in the Court of Appeals be transferred to the Supreme Court for final determination.
- (b) <u>Timing and Content of Motion.</u> such request shall be by A motion for transfer must be filed with the clerk of the appellate courts, accompanied by eight (8) copies, within not later than thirty (30) days after service of the notice of appeal. The motion shall must:
  - (1) set forth state the nature of the case;
  - (2) shall demonstrate that such the case is within the jurisdiction of the Supreme Court; and
  - (3) shall show the existence of one or more of the grounds for transfer specified in K.S.A. 20-3016(a). As may be appropriate, such motion shall specify by specifying:
    - (a)(A) Which the issue or issues are not within the jurisdiction of the Court of Appeals, with citation to controlling constitutional, statutory, or case authority;
    - (b)(B) The the subject matter of the case which that has significant public interest;
    - (c)(C) The the particular legal questions question raised which that have has major public significance-; or
    - (D) If the request is made under K.S.A. 20-3016(a)(4), the motion shall contain sufficient data concerning the state of the docket of the Court of Appeals and of the Supreme Court to demonstrate that the expeditious administration of justice requires such the transfer.

#### COMMENT

The language of Rule 8.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

#### **Rule 8.03**

# SUPREME COURT REVIEW OF COURT OF APPEALS DECISION SUPREME COURT REVIEW OF COURT OF APPEALS DECISION

- (a) PETITION. Petition. Any A party aggrieved by a decision of the Court of Appeals may petition the Supreme Court for discretionary review under K.S.A. 20-3018. For the purpose of In this rule, "decision" means any formal or memorandum opinion, order, or involuntary dismissal pursuant to under Rule 5.05.
  - (1) Time for Filing. The petition shall be filed with the Clerk of the Appellate Courts within thirty (30) days after the date of the decision of the Court of Appeals. The thirty day period for filing a petition for review is jurisdictional.
  - (2) Filing; Service. The petitioner shall file the original and nine (9) copies of the petition with the Clerk of the Appellate Courts. In addition, within thirty (30) days after the date of the decision of the Court of Appeals, one copy shall be served on all parties who have appeared in the Court of Appeals.
  - (1) Filing and Service. Not later than 30 days after the date of the decision of the Court of Appeals, the petitioner must file the original and 9 copies of the petition with the clerk of the appellate courts and serve a copy on each party that has appeared in the Court of Appeals. The 30-day period for filing a petition for review is jurisdictional.
  - (3)(2) Effect of Motion for Rehearing or Modification. Effect of Motion for Rehearing or Modification. The filing of a petition for review under this rule does not preclude the filing of a timely motion for rehearing or modification under Rule 7.05. If a timely motion for rehearing or modification is filed, the Court of Appeals shall retains jurisdiction over the case and shall will proceed in accordance with under Rule 7.05. No action will be taken by the Supreme Court The Supreme Court will take no action on a petition for review until the Court of Appeals has made a final determination of all motions for rehearing and modification pursuant to under Rule 7.05.
  - (4)(3) Form. Form of Petition. A petition for review shall must be in the form of a brief, conforming complying to with the applicable provisions of Rule 6.07. The cover of the petition shall must be white, and the petition shall may not exceed fifteen (15) pages in length, exclusive of the cover, table of contents, appendix, and certificate of service.
  - (5)(4) Contents. Content of Petition. The petition shall must contain concise statements of the following, in the order indicated:

- (a)(A) A prayer for review, clearly stating the nature of the relief sought.
- (b)(B) The Date of the decision of the Court of Appeals.
- (e)(C) <u>A Ss</u>tatement of the issues decided by the Court of Appeals of which review is sought. <u>The court will not consider Hissues not presented in the petition</u>, or fairly included therein, in the petition will not be considered by the court. The court, however, may, however, at its option, address a plain error not presented. In a civil case, the petitioner shall also <u>must</u> list, separately and without argument, those additional issues decided by the district court that which were presented to, but not decided by, the Court of Appeals, which the petitioner wishes to have determined if review is granted. In a criminal case, the Supreme Court will not review a conviction reversed by the Court of Appeals unless the <u>prosecuting jurisdiction prosecution</u> preserves the issue by filing a petition or cross-petition for review.
- (d)(D) A short statement of relevant facts. Facts correctly stated in the opinion of the Court of Appeals need not be restated.
- (e)(E) A short argument, including appropriate authorities authority, stating why review is warranted.
- (f)(F) An appendix containing a copy of the opinion of the Court of Appeals. The appendix also shall must include copies of opinions, findings of fact, conclusions of law, orders, judgments, or decrees issued by the district court or administrative agency from which review was taken to the Court of Appeals, if relevant to the issues presented for review.
- (b) CROSS-PETITION. Cross-Petition. A respondent may file a cross-petition for review.
  - (1) Time for Filing; Form; Contents. Within fourteen (14) days from the date a petition for review is filed, a respondent may file and serve a cross-petition for review. A cross-petition shall be in the same form, length, and have the same contents, in the same order, as the petition.
  - (2) Filing; Service. The cross-petitioner shall file the original and nine (9) copies of the cross-petition with the Clerk of the Appellate Courts. In addition, one copy shall be served on all parties who have appeared in the Court of Appeals.
  - (1) Filing and Service. Not later than 14 days after the date a petition for review is filed, the respondent must file the original and 9 copies of a cross-petition for review with the clerk of the appellate courts and serve a copy on all parties that have appeared in the Court of Appeals.

- (2) Form and Content of Cross-Petition. A cross-petition must be in the same form, length, and have the same contents, in the same order, as the petition.
- (c) RESPONSE. Response. A party opposing a petition or cross-petition for review may file a response.
  - (1) Time for Filing; Service. Filing and Service. Any party wishing to oppose the petition or cross-petition may file a response within fourteen (14) days from the date the petition or cross-petition is filed. The original and nine copies shall be filed with the Clerk of the Appellate Courts. In addition, one copy shall be served on all parties who have appeared in the Court of Appeals. Not later than 14 days after the petition or cross-petition for review is filed, the party must file the original and 9 copies of a response to the petition or cross-petition with the clerk of the appellate courts and serve a copy on all parties that have appeared in the Court of Appeals.
  - (2) Form. Form of Response. The A response shall must comply with Rule 6.07 and shall may not exceed fifteen (15) pages, exclusive of the cover, table of contents, appendix, and certificate of service. The cover of the response shall must be white.
  - (3) Contents. Content of Response. The A response shall must be confined to argument in that reply replies to issues presented in the petition or cross-petition for review, or to argument that provides alternative grounds for affirmance of affirming the decision of the Court of Appeals, provided those grounds were raised and briefed in the Court of Appeals. In a civil case, the response also may present for review adverse rulings or decisions of the district court that should be considered by the Supreme Court in the event of a new trial, provided that the respondent raised such the issues in the Court of Appeals.
  - (4) <u>Effect of Failure to File Response.</u> Failure to file a response shall is not be an admission that the petition should be granted.
- (d) REPLY. Reply. The A reply should be addressed is permitted to an arguments argument raised in the a response that which are is not covered sufficiently in the petition or cross-petition. The A reply must be filed not later than 14 days after service of the response and may not exceed ten (10) pages in length, exclusive of the cover, table of contents, appendix, and certificate of service. The court need not delay decision pending the filing of a reply.
- (e) DISCRETION IN GRANTING REVIEW. Discretion In Granting Review.
  - (1) Review as a Matter of Right. Review as a Matter of Right. As provided by Pursuant to K.S.A. 60-2101(b) and K.S.A. 22-3602(d), any a party may appeal petition as a matter of right from a final decision of the Court of Appeals in any a

case in which a question under the Constitution of either the United States or the State of Kansas arises for the first time as a result of the decision of the Court of Appeals.

- (2) <u>Discretionary Review.</u> <u>Discretionary Review.</u> In all other a cases case other than one described in paragraph (1), review by petition is not a matter of right, but of judicial discretion. <u>All A petitions petition</u> for review will be considered en bane by all justices, and the vote of three justices shall be is required to grant any the petition for review.
- (3) Purpose of Petition. Purpose of Petition. The purpose of the a petition for review, cross-petition, response, and reply is to set forth state the reasons reason why the Supreme Court should grant or deny review of the decision of the Court of Appeals. Generally, the only documents considered by the Supreme Court will be the petition for review, cross-petition, response, and reply. The record on appeal and Bbriefs filed in the Court of Appeals, briefs filed or in support of a petition for rehearing or modification, and the record on appeal generally will not be considered in acting on a petition or cross-petition for review.
- (f) ORDER DENYING REVIEW; EFFECT. Order Denying Review; Effect. If the Supreme Court denies review, the Clerk clerk of the appellate courts shall must so notify the parties of the denial. The decision of the Court of Appeals shall be is final as of the date of the decision denying review, and the mandate shall be issued by the Clerk forthwith clerk must issue the mandate promptly. The A denial of a petition for review of a Court of Appeals' decision imports no opinion on the merits of the case. The denial of a petition for review is not subject to a motion for reconsideration by the Supreme Court.

# (g) ORDER GRANTING REVIEW; SUBSEQUENT PROCEDURE. Order Granting Review; Subsequent Procedure.

- (1) <u>Issues Subject to Review.</u> <u>Issues Subject to Review.</u> The <u>An</u> order granting review may limit the <u>questions issues</u> on review. If review is not limited, the issues before the Supreme Court include all issues properly before the Court of Appeals that which the petition for review or cross-petition allege were decided erroneously by the Court of Appeals. In civil cases, the Supreme Court may, but need not, consider other issues that were presented to the Court of Appeals and that the parties have preserved for review.
- (2) <u>Briefs; Record.</u> <u>Briefs; Record.</u> Unless the Supreme Court otherwise orders, the issues to be reviewed shall will be considered on the basis of the record and briefs previously filed with the Court of Appeals. Within Not later than fourteen (14) days of after the date of the order granting review, the parties shall must file with the Cclerk of the Aappellate Ccourts ten (10) additional copies of the briefs originally filed with the Court of Appeals.

- (3) Supplemental Briefs. Supplemental Briefs. Within Not later than thirty (30) days after the date of the order granting review, any a party may file a supplemental brief. Any An opposing party may file a brief in response to a supplemental brief within not later than thirty (30) days from after the date the supplemental brief is filed. Except by order of the Supreme Court, a supplemental brief shall may not exceed one-half the number of pages permitted for original briefs as prescribed by under Rule 6.07.
- (4) Oral Argument. Oral Argument. Unless otherwise ordered by the Supreme Court, the party whose petition for review was granted will argue first and may reserve time for rebuttal.

## (h) OTHER DISPOSITIONS. Other Dispositions.

- (1) Review Improvidently Granted. Review Improvidently Granted. If the Supreme Court determines that review was improvidently granted, a per curiam opinion it may be issued issue an order stating that the petition for review was improvidently granted and that the Court of Appeals! opinion or disposition of the case is approved final.
- (2) Voluntary Dismissal. Before an opinion on review is filed, a party that has filed a petition for review may dismiss the petition by stipulation or by filing with the clerk of the appellate courts and serving on all parties a notice of dismissal. A dismissal of one party's petition does not affect any other party's petition or cross-petition.
- (2)(3) Remand for Reconsideration. Remand for Reconsideration. When review has been is granted, the Supreme Court may remand the appeal to the Court of Appeals, district court, or agency for reconsideration of issues in light of authority identified in the Supreme Court's order or may dispose of the issues as it deems appropriate.
- (3)(4) Issues Not Decided by Court of Appeals. Issues Not Decided by Court of Appeals. In a civil case, if issues decided by the district court were presented to, but not decided by, the Court of Appeals and review has been preserved as to those issues of those issues was preserved, the Supreme Court may consider and decide such the issues, may remand the appeal to the Court of Appeals for decision of such the issues, or may make such other disposition with respect to such dispose of the issues as it deems appropriate.
- (4)(5) *Moot Questions.* **Moot Questions.** If a case becomes moot after a petition for review has been granted, the Supreme Court may dismiss the appeal or, in a civil case, at the discretion of the Court, may review the decision of the district court.
- (i) <u>EFFECT OF COURT OF APPEALS' DECISION PENDING REVIEW.</u> <u>Effect of Court of Appeals Decision Pending Review.</u> The timely filing of a petition for review <u>shall</u> stays the issuance of the mandate of the Court of Appeals. Pending the determination of the Supreme

Court on the petition for review or and during the time in which to file a petition for review may be filed, the opinion of the Court of Appeals is not binding on the parties; or on the district courts. Any An interested person who that wishes to cite a Court of Appeals opinion for persuasive authority before the mandate has issued shall must note in the citation that the case is not final and may be subject to review or rehearing. If a petition for review is granted, the decision or opinion of the Court of Appeals has no force or effect, and the mandate shall will not issue until disposition of the appeal on review. If a petition for review is granted in part, a combined mandate shall will issue when appellate review is concluded, unless otherwise specifically directed by the Supreme Court. If review is refused, the decision of the Court of Appeals shall be is final as of the date of the refusal, and the mandate of the clerk of the appellate courts promptly must issue the mandate of the Court of Appeals shall be issued by the Clerk forthwith.

#### COMMENT

The language of Rule 8.03 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Under subsection (h)(1), if review was improvidently granted, the Supreme Court Rules Advisory Committee recommends that the matter be disposed of by order rather than opinion.

Also under subsection (h)(1), the Committee recommends that the Court of Appeals opinion be "final" rather than "approved" to avoid giving the disposition more weight than would occur when review is denied under subsection (f).

Subsection (h)(2) establishes the procedure for voluntary dismissal of a petition for review.

Subsection (h)(3) expands the Supreme Court's alternatives on remand.

#### **ORIGINAL ACTIONS**

#### **Rule 9.01**

# ORIGINAL ACTIONS ORIGINAL ACTION

- (a) CASES OF CONCURRENT JURISDICTION. Original jurisdiction of an appellate court will not ordinarily be exercised if adequate relief appears to be available in a district court. If relief is available in the district court, the petition shall state, in addition to all other necessary allegations, the reasons why the action is brought in the appellate court instead of in the district court. In the event the appellate court finds that adequate relief is available in the district court, it may dismiss the action or order it transferred to the appropriate district court.
- (b) PETITION: SERVICE AND FILING. An original and eight (8) legible copies of petitions in original actions shall be filed with the clerk of the appellate courts with proof of service on all respondents or their counsel of record. Where the relief sought is an order in mandamus against a judge involving pending litigation before such judge, the judge and all parties to the pending litigation shall be deemed respondents. The petition shall contain a statement of the facts necessary to an understanding of the issues presented and a statement of the relief sought. It shall be accompanied by a short memorandum of points and authorities, and such documentary evidence as is available and necessary to support the facts alleged. The plaintiff in an original action shall pay a docketing fee of \$125.00. Upon receipt of the prescribed docket fee or an affidavit of indigency the clerk shall docket the petition and submit it to the court. No docket fee shall be charged to file a petition for writ of habeas corpus.
- (a) Petition; Service and Filing. The petitioner in an original action must file the original and 8 copies of a petition with the clerk of the appellate courts, with proof of service on all respondents or their counsel of record. When the relief sought is an order in mandamus against a judge involving pending litigation before that judge, the judge and all parties to the pending litigation are deemed respondents. The petition must contain a statement of the facts necessary to understand the issues presented and a statement of the relief sought. The petition must be accompanied by a short memorandum of points and authorities and available documentary evidence necessary to support the facts alleged. The petitioner must pay a docket fee of \$125 and any applicable surcharge. On receipt of the prescribed docket fee or an affidavit of indigency, the clerk of the appellate courts must docket the petition and submit it to the court. No docket fee will be charged to file a petition for writ of habeas corpus.
- (b) Concurrent Jurisdiction. An appellate court ordinarily will not exercise original jurisdiction if adequate relief appears to be available in a district court. If relief is available in the district court, a petition must state in addition to all other necessary allegations the reason why the action is brought in the appellate court instead of in the district court. If

the appellate court finds that adequate relief is available in the district court, it may dismiss the action or order it transferred to the appropriate district court. A dismissal under this subsection is not an adjudication on the merits.

- (c) EX PARTE DISPOSITION; ORDER DIRECTING RESPONSE. Court Action on Petition. If the court is of the opinion that the relief should not be granted, it will deny the petition. If the right to the relief sought is clear and it is apparent that no valid defense to the petition can be offered, the relief sought may be granted ex parte. A dismissal under paragraph (a) of this rule because adequate relief appears to be available in the district court is not an adjudication on the merits. If the petition is not granted or denied ex parte, the court will order that the respondent(s) either show cause why the relief should not be granted or file an answer to the petition within the time fixed by the order. The order shall be served by the clerk on all named respondents by mail or as otherwise directed by the court. Two or more respondents may respond jointly. A judge named as respondent in a mandamus action who does not desire to appear in the proceeding may so advise the clerk and all parties by letter, but the petition shall not thereby be taken as admitted. Any response may be accompanied by such additional documentary evidence as the respondent deems necessary for the court's understanding of the case.
  - (1) **Denial.** If the court determines that relief should not be granted, it will deny the petition.
  - (2) Ex Parte Disposition. If the right to relief is clear and it is apparent that no valid defense to the petition can be offered, relief may be granted ex parte.
  - Order Directing Response. If the petition is not granted or denied *ex parte*, the court will order that the respondent(s) either show cause why relief should not be granted or file an answer to the petition within the time fixed by the order. The following rules apply:
    - (A) The order must be served by the clerk of the appellate courts on all named respondents by mail or as otherwise directed by the court.
    - (B) Two or more respondents may respond jointly.
    - (C) A judge named as respondent in a mandamus action who decides not to appear in the proceeding may so advise the clerk and all parties by letter, but the petition will not thereby be taken as admitted.
    - (D) A response may be accompanied by additional documentary evidence necessary for the court's understanding of the case.

- (d) THE RECORD. The Record. The petition, response, and any accompanying documents accompanying either shall constitute the record. If it appears that there are disputed questions of material fact which can only be resolved only by oral testimony, the court may refer the matter to a judge of the district court or to a commissioner for the purpose of to taking take such the testimony and making make a report containing recommended recommending findings of fact. Such The commissioner's report and the transcript of the testimony shall must be filed with the clerk of the appellate courts and will become part of the record.
- (e) FURTHER PROCEEDINGS. Further Proceedings. If the petition, response, and record clearly indicate the appropriate disposition, the court will enter an order without further briefs or argument. Otherwise the court will normally may order a prehearing conference under Rule 1.04, and in any event The court will enter an order fixing dates for the filing of briefs. The proceeding will thereafter will be governed by the rules relating to appellate procedure.

The language of Rule 9.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

#### **Rule 9.02**

## UTILITY RATE CASES UTILITY RATE CASE

- (a) Filing; Docket Fee. When an application for judicial review of an order of the state corporation commission is filed in the Court of Appeals, such the filing shall be is treated, for the purpose of further proceedings, in the same manner as the docketing of an appeal from the district court, and the rules relating to appellate practice shall apply. An The original and five (5) copies of the an application for judicial review shall must be filed with the clerk of the appellate courts, in addition to accompanied by the docket fee and any applicable surcharge required by under Rule 2.04.
- (b) **Record; Briefing Schedule.** Unless otherwise ordered by the court:
  - (a)(1) The record shall be transmitted by the commission to the court forthwith commission must transmit promptly the record to the clerk of the appellate courts.
  - (b)(2) An Aapplicant's brief shall must be filed within not later than twenty (20) 21 days after the application for review is filed.
  - (c)(3) A respondent's brief shall must be filed within not later than twenty (20) 21 days after service of applicant's brief.
  - (d)(4) Any A reply brief shall <u>must</u> be filed not <u>less later</u> than seven (7) days before the date set for hearing.

Notwithstanding the provisions of Rule 7.02(d), the clerk shall give the attorneys not less than fifteen (15) days' notice of the time and place of hearing.

- (c) Notice of Hearing. Rule 7.02(e) does not apply. The clerk of the appellate courts must give the attorneys not less than 14 days' notice of the time and place of hearing.
- (d) Extension of Time Requires Waiver in Certain Cases. In a eases case where in which a public utility claims the rates allowed by the commission are inadequate, no a motion for extension of time to file the utility's brief shall will not be considered unless it includes or is accompanied by a waiver of the one hundred twenty (120) day 120-day time limit imposed by K.S.A. 66-118g(b). So that respondent may have an equal amount of time to file its brief, such the waiver shall must be to the extent of for at least twice the additional time requested by the utility.

(e) <u>Prehearing Conference.</u> If a prehearing conference is desired, a A motion that requests a prehearing conference to that effect shall must be filed within not later than seven (7) days after the filing of the application for judicial review. A motion for a prehearing conference filed later shall will be considered only upon on good cause shown.

#### **COMMENT**

The language of Rule 9.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Time limits in subsection (b)(2) and (3) were changed from 20 days to 21 days, consistent with time frames in the Code of Civil Procedure.

#### **Rule 9.03**

# TAX APPEAL CASES TAX APPEAL CASE

- (a) <u>Petition.</u> When an appeal is taken from the court of tax appeals to the Court of Appeals pursuant to under K.S.A. 74-2426, the appellant shall must file with the clerk of the appellate courts a petition for judicial review in compliance with K.S.A. 77-614 with the clerk of the appellate courts. The petition for judicial review shall must be:
  - (1) accompanied by certified copies of the order of the court of tax appeals, the petition for reconsideration, and the court of tax appeals<sup>2</sup> order on the petition for reconsideration;
  - (2) The petition for judicial review shall be accompanied by the docket fee, any applicable surcharge, and the docketing statement required by Rule 2.04-; and
  - (3) A copy of the petition for judicial review shall be served as provided in in compliance with K.S.A. 77-613 through K.S.A. 77-615 and amendments thereto.
- (b) Statutory Bond. When the an appeal under K.S.A. 74-2426 relates to excise, income, or inheritance estate taxes and the appellant is not by anyone other than the director of taxation, the statutory bond required by K.S.A. 74-2426(d) shall must accompany the petition for judicial review and be filed with the clerk of the appellate courts. Unless otherwise requested a bond for a lesser amount is requested, the bond shall must be in the amount of 125% of the tax assessed and shall must be approved by the clerk. If a bond in a lesser amount is requested, the appellant shall submit a motion pursuant to Rule 5.01 with the petition for judicial review in lieu of the bond. Appellant may request a bond in a lesser amount by filing with the petition for judicial review a motion under Rule 5.01 in lieu of the bond. The appropriate bond shall thereafter then must be filed within not later than 14 days of the after entry of the order granting or denying the motion.
- (c) Record and Transcript Requests. Within 14 days of the filing of the petition for judicial review, the appellant shall request in writing that the court of tax appeals certify the record of the proceedings and, if a hearing was held before the court, that a transcript of the hearing be prepared. The transcript shall be ordered and prepared and advance payment made in accordance with Rule 3.03. The appellant shall file copies of the requests for transcript and certification of the record with the clerk of the appellate courts and serve copies upon all other parties at the time the requests are filed with the court of tax appeals. Upon completion of the transcript, the court of tax appeals shall forthwith transmit the record and transcript to the clerk of the appellate courts and send notice of such transmission with a copy of the table of contents of the record to the parties. The brief of the appellant shall be due thirty (30) days from the date the record is transmitted to the appellate courts. Not later than 14 days after the filing of a petition for judicial review under subsection (a), the appellant must:

- (1) request in writing that the court of tax appeals certify the record of the proceedings;
- (2) if a hearing before the court was recorded, request a transcript; and
- (3) <u>file copies of the requests for transcript and certification of the record with the clerk</u> of the appellate courts and serve copies on all other parties at the time the requests are filed with the court of tax appeals.
- (d) <u>Transcript Preparation; Advance Payment.</u> The transcript must be prepared and advance payment made under Rule 3.03.
- (e) Transmission. On completion of the transcript, if any, the court of tax appeals promptly must transmit the record to the clerk of the appellate courts and send notice of the transmission with a copy of the table of contents of the record to the parties.
- (f) Appellant's Brief. The brief of the appellant must be filed not later than 30 days after the date the record is transmitted to the appellate courts.
- (d)(g) Rules Relating to Appellate Practice Apply. The briefs of the parties and all other proceedings and matters shall be governed by the rules relating to appellate practice. The rules relating to appellate practice govern all other proceedings and matters in an appeal under K.S.A. 74-2426 not provided for in this rule.

The language of Rule 9.03 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

Subsection (b) has been amended to remove "inheritance taxes" and substitute "estate taxes," consistent with K.S.A. 74-2426.

#### **RULE 9.04**

## WORKERS COMPENSATION CASES WORKERS COMPENSATION CASE

- (a) <u>Petition.</u> When an appeal is taken from the <u>Ww</u>orkers <u>Cc</u>ompensation <u>Bb</u>oard to the Court of Appeals under K.S.A. 44-556 and amendments thereto, the appellant shall <u>must</u> file <u>with</u> the clerk of the appellate courts a petition for judicial review in compliance with K.S.A. 77-614 with the clerk of the appellate courts. The petition for judicial review shall <u>must</u> be:
  - accompanied by certified copies of the decision(s) of the administrative law judge, the request for <u>Ww</u>orkers <u>Ccompensation Bboard review</u>, and the order of the <u>Wworkers Ccompensation Bboard</u>;
  - (2) The petition for judicial review shall be accompanied by the docket fee, any applicable surcharge, and the docketing statement required by Supreme Court Rule 2.04-; and
  - (3) A copy of the petition for judicial review shall be served as provided in compliance with K.S.A. 77-613 through K.S.A. 77-615 and amendments thereto.
- (b) <u>Cross-appeal.</u> If any <u>a other</u> party seeks to file a cross-appeal as provided by <u>under K.S.A.</u> 44-556 and amendments thereto, that <u>the party shall must</u> file a cross-petition for review which that complies with K.S.A. 77-614.
- (b)(c) Record and Transcript Requests. Within fourteen (14) days of the filing of the petition for judicial review, the appellant shall request in writing to the Director to certify the record of the proceedings. If a record was made of any hearing before the Board, a transcript shall be ordered by the appellant also within fourteen (14) days of filing of the petition for judicial review. The transcript shall otherwise be prepared and advance payment made in accordance with Supreme Court Rule 3.03. The appellant shall file copies of the request(s) for transcript and certification of the record with the clerk of the appellate courts and serve copies upon all other parties at the time the request(s) are filed with the Director. Upon completion of the transcript of the Board hearing, if any, the Director shall forthwith transmit the record to the clerk of the appellate courts and send notice of such transmission with a copy of the table of contents of the record to the parties. The brief of the appellant shall be due thirty (30) days from the date the record is transmitted to the appellate courts. Not later than 14 days after the filing of a petition for judicial review under subsection (a), the appellant must:
  - (1) request in writing that the director certify the record of the proceedings;
  - (2) if a hearing before the board was recorded, request a transcript; and

- (3) <u>file copies of the requests for transcript and certification of the record with the clerk</u> of the appellate courts and serve copies on all other parties at the time the requests are filed with the director.
- (d) <u>Transcript Preparation; Advance Payment.</u> The transcript must be prepared and advance payment made under Rule 3.03.
- (e) Transmission. On completion of the transcript, if any, the director promptly must transmit the record to the clerk of the appellate courts and send notice of the transmission with a copy of the table of contents of the record to the parties.
- (f) Appellant's Brief. The brief of the appellant must be filed not later than 30 days after the date the record is transmitted to the appellate courts.
- (e)(g) Rules Relating to Appellate Practice Apply. All other procedures and matters not provided for in this order shall be governed by the Supreme Court rules relating to appellate practice and applicable statutes. The rules relating to appellate practice govern all other proceedings and matters in an appeal under K.S.A. 44-556 not provided for in this rule.

The language of Rule 9.04 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules. These changes are intended to be stylistic only.

#### **EXPEDITED APPEALS**

#### **Rule 10.01**

# EXPEDITED APPEAL BY UNEMANCIPATED MINOR FOR WAIVER OF PARENTAL NOTICE REQUIREMENT EXPEDITED APPEAL FOR WAIVER OF PARENTAL CONSENT REQUIREMENT

- (a) <u>Docketing; Briefing; Oral Argument.</u> Upon On receiving receipt the of a notice of appeal and the from a district judge's decision as provided by under Rule 173 of the Rrules Rrelating to <u>Ddistrict Ccourts, together with a certified copy of the district judge's opinion,</u> the clerk of the appellate courts shall must docket the appeal in the Court of Appeals. No docketing statement shall be is required. Counsel for the minor shall must file the appellant's brief within not later than seven (7) days of after the date the appeal is docketed. No amicus curiae briefs will be accepted. Unless otherwise ordered by the Court of Appeals, no oral argument shall will be held.
- (b) <u>Expedited Decision.</u> The Court of Appeals shall <u>must</u> expedite the determination of <del>any</del> such an appeal <u>under this rule</u> to the extent necessary to protect the rights of the minor. The decision of the Court of Appeals shall <u>must</u> be filed no <u>not</u> later than fourteen (14) days after the appeal is docketed.
- (c) Protection of Minor's Anonymity. In all an appellate proceedings proceeding under this rule, the minor's anonymity of the minor shall must be protected. All A motions motion, briefs brief, or opinions, opinion and or orders order of the appellate court shall must refer to the minor as "Jane Doe."
- (d) <u>Decision of the Court of Appeals.</u>
  - (e)(1) <u>Decision Not Subject to Reconsideration or Modification.</u> The decision of the Court of Appeals <u>under this rule shall is</u> not be subject to reconsideration or modification by the Court of Appeals.
  - (2) If District Court Decision is Affirmed. If the Court of Appeals affirms the decision of the district judge, the appellant may petition for discretionary review by the Supreme Court pursuant to under Rule 8.03. If any such a petition for review is not granted within fourteen (14) days after the petition is filing filed, the petition shall be is deemed denied. If the appellant's a petition for review is granted, the Supreme Court will review the matter on the record submitted to the Court of Appeals and will file its opinion within not later than fifteen (15) 14 days from after the date the petition is granted.

- (3) If District Court Decision is Reversed. If the Court of Appeals reverses the decision of the district judge, the Court of Appeals' decision shall is not be subject to discretionary review by the Supreme Court, and the clerk of the appellate courts shall must immediately issue the mandate immediately.
- (d)(e) <u>Computation of Time.</u> K.S.A. 60-206(a) shall governs in the computing computation any of a period of time prescribed by this rule.

The language of Rule 10.01 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

The word "notice" in the title was changed to "consent" to conform to amendments to K.S.A. 65-6705.

The time limit in subsection (d)(2) was changed from 15 days to 14 days, consistent with time frames in the Code of Civil Procedure.

#### **RULE 10.02**

## DIRECT APPEALS IN DEATH PENALTY CASES DIRECT APPEAL IN DEATH PENALTY CASE

- (a) General—Generally. When a notice of appeal is filed in a criminal case in which a sentence of death has been imposed, the rules relating to appellate practice shall will govern except where unless otherwise provided by this rule.
- (b) Automatic Stay Automatic Stay. Upon the filing of a notice of appeal When a notice of appeal is filed, the execution of a death sentence shall be is stayed until the appellate proceedings are concluded.
- (c) Preparation of Record on Appeal—Within thirty (30) days after notice from the clerk of the appellate courts that the appeal has been docketed, the clerk of the district court shall compile the record on appeal. Preparation of Record on Appeal. The clerk of the district court must compile the record on appeal not later than 30 days after notice from the clerk of the appellate courts that the appeal has been docketed.
- (d) Transcripts Transcript. A transcript shall must be prepared of all proceedings that have been reported by a court reporter or which have otherwise been recorded. The A transcript shall must be completed within not later than ninety (90) 120 days after service of the a request for transcript. Extensions of time will not be granted, except for exceptional circumstances.
- (e) Time Schedule for Briefs Time Schedule for Briefs. The An appellant's brief shall must be due filed within not later than ninety (90) 120 days after service of the certificate of filing of the transcript in accordance with under Rule 3.03. The An appellee's brief shall must be due filed within not later than ninety (90) 120 days after service of the appellant's brief. A reply brief, if any, shall must be due filed within not later than forty five (45) 60 days after service of the brief to which the reply is made. Extensions of time will not be granted, except for exceptional circumstances.
- (f) <u>Length of Briefs</u> <u>Page Limitations.</u> The length of briefs (<u>exclusive of excluding the</u> cover, table of contents, appendix, and certificate of service) <u>shall may</u> not exceed the following:
  - (1) Brief of Appellant 100 pages;
  - (2) Brief of Appellee 100 pages; and
  - (3) Reply Brief 30 pages.

- (g) Oral Argument Oral Argument Oral arguments argument will be is limited to thirty (30) 60 minutes each for the appellant and the appellee.
- (h) Stay of Mandate Stay of Mandate. Issuance of the a mandate in a capital eases case which that affirms a death sentence shall be is automatically stayed until the time for filing a petition for writ of certiorari in the United States Supreme Court has expired or, in a case in which a petition for writ of certiorari has been filed, until the clerk of the appellate courts is notified by the United States Supreme Court that the petition has been denied or, if the petition is granted, until the conclusion of proceedings in the United States Supreme Court.

The language of Rule 10.02 has been amended as part of the general restyling of the Rules Relating to Supreme Court, Court of Appeals, and Appellate Practice to make it more easily understood and to make style and terminology consistent throughout the Rules.

References to "exceptional circumstances" in subsections (d) and (e) have been removed, not to grant greater leniency, but rather to reaffirm that the rules relating to appellate practice generally apply to an extension for time in a death penalty case.

Time limitations in subsections (d), (e), and (g) have been increased to reflect experience with death penalty cases.

Subsection (h) was amended to address the circumstance when the United States Supreme Court grants a petition for writ of certiorari.